Industrial Evolutionary Computing

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The Dow Chemical Company [#]
Evolved Analytics [+]

GECCO 2005

Academic vs. industrial data analysis



Transfer data into knowledge











Overview

In theory, there is no difference between theory and practice. In practice, there is. - Jan L.A. van de Snepscheut

- · Evolutionary Computing and the business model
- Implementation guidelines
- Integrate & Conquer
- · Key application areas
- Open issues

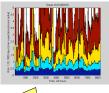
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Special Features of Industrial Data Analysis

Operators intervention

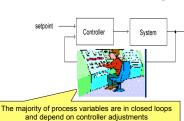
Operators manually modify the process

Multiple time scales

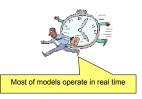


Time scales vary from milliseconds to months

Curse of closed loops

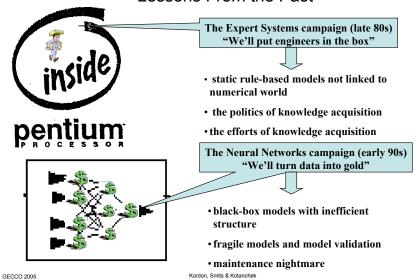


Real-time pressure

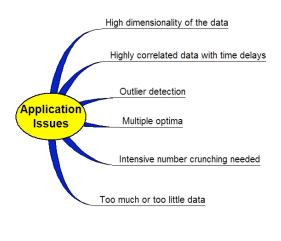


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Intelligent Systems in Industrial Data Analysis: Lessons From the Past

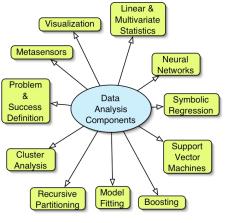


Application Issues in the Chemical Industry



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Industrial data analysis components

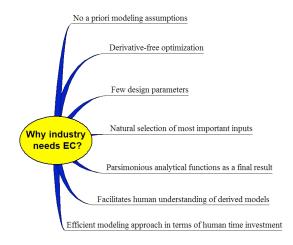


The role of evolutionary computing (symbolic regression) is to ...

- Facilitate physical/mechanism insight and understanding
- Summarize data behavior
- Identify data transforms and metasensors
- Perform variable selection
- Enable response surface exploration and optimization
- Visualize behavior in the form of a symbolic expression

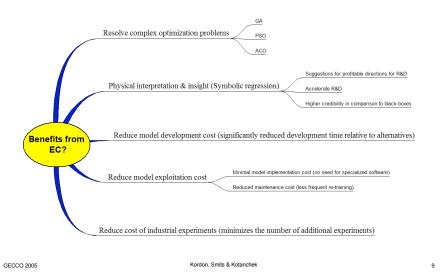
The overall goal is to achieve speed, accuracy & efficiency. Symbolic regression is part of an integrated methodology.

Why industry needs Evolutionary Computing?

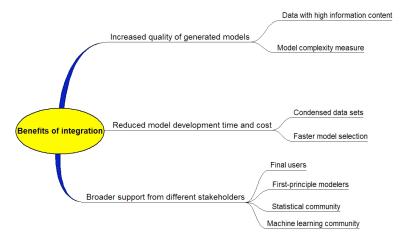


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Economic benefits from Evolutionary Computing

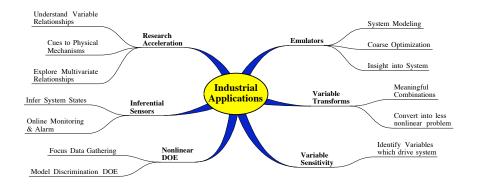


Benefits of integrating Evolutionary Computing with other approaches



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Application areas with impact



Implementation guidelines

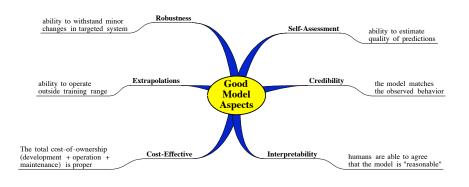
- Requirements for successful empirical modeling
- · Key issues to be overcome
- Implementation strategy
- Implementation tools

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Requirements for successful data-driven modeling

Objective function:

Minimizing modeling cost and maximizing data analysis efficiency under broad range of operating conditions



Key issues to overcome

Data pre-processing and condensation

Model selection

User-friendly implementation tools

Marketing of EC to different modeling communities

- Key implementation issues

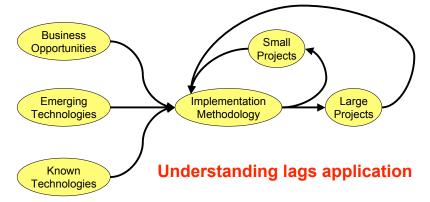
Resistance to implement empirical models (inherited from black-box models)

Seamless integration into existing maintenance and support infrastructure

Critical mass of model developers familiar with EC

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Implementation Strategy



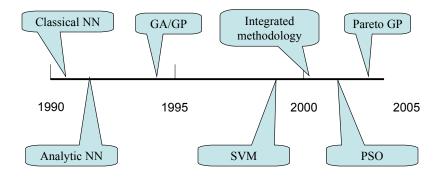
(Good judgement comes from experience; experience comes from bad judgement)

Implementation tools

- MATLAB (Dow developed)
 - GA
 - GP
 - PSO (single objective and multi-objective)
 - Analytic neural networks
 - Support vector machines
- Mathematica (Dow developed)
 - Symbolic regression package
 - AutoAnalysisTools
 - Analytic neural networks
 - PSO
 - Group Methods of Data Handling (GMDH)
- · Tools for model distribution
 - Delphi
 - Web Mathematica
 - Excel
 - Process control systems

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Exploitation/Implementation Sequence of Computational Intelligence Approaches in Dow Chemical



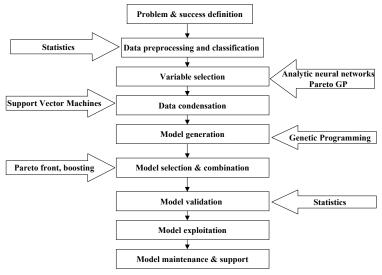
Integrate & Conquer



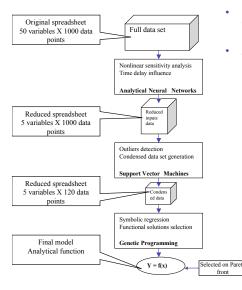
- Integrated methodology for successful EC implementation
- Related approaches
- A case study

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Integrated Methodology



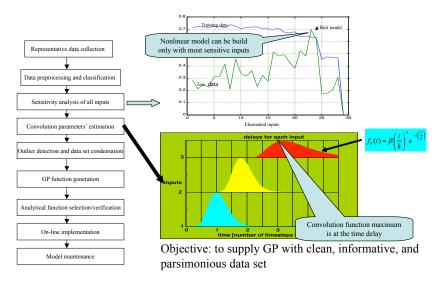
Integrated Methodology for Empirical Models Development



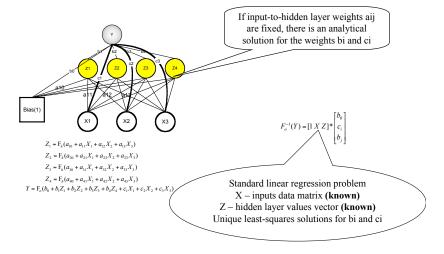
- Hybrid approach integrating multiple technologies exploits the strengths of each
- · Advantages:
 - Fast development (days)
 - Robust performance (compact models)
 - Direct implementation in any Distributed Control System (no need for specialized software)
 - Very low capital cost (only if hardware for data collection is unavailable)
 - Low average cost of ownership (reduced development and maintenance cost)
 - Process engineers like it (preferable to black-box models)

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Steps Based on Analytic Neural Nets

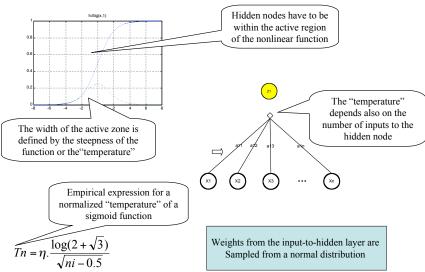


Key idea behind analytic neural networks



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Key technique for input-to-hidden layer initialization

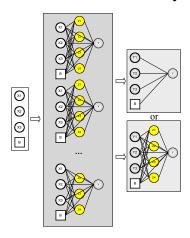


Analytic Neural Network Benefits

- · Robust algorithm
 - No tunable parameters
 - One global optimum
- Very fast,
 - possible to use a whole range of cross-validation principles from statistics
 - No longer an NP-complete problem
- Strong theoretical foundation
 - statistical learning theory
 - Direct measure for the model capacity (VC-dimension)

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Stacked Analytic Neural Nets (SANN)

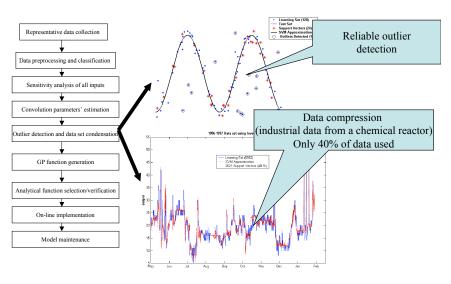


- Fast development
- Diverse subnet consensus indicator of model output quality
- · Allows explicit calculations of input/output sensitivity
- Can handle time-delayed inputs by convolution functions
- Gives more reliable estimates based on multiple models statistics

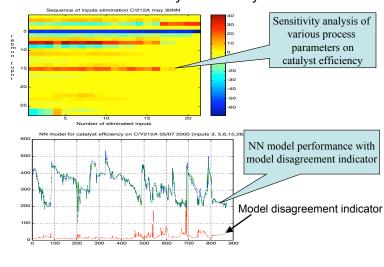
Internally developed in Dow Chemical by Guido Smits

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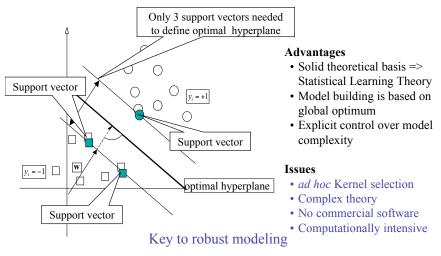
Steps Based on Support Vector Machines



An example of stacked analytical NN application a model for catalyst efficiency

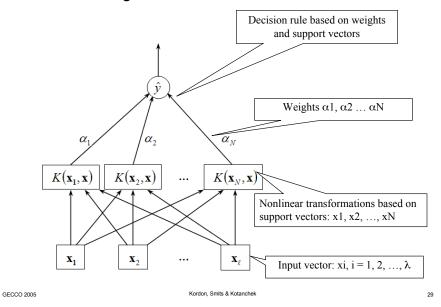


Support Vector Machines for Classification

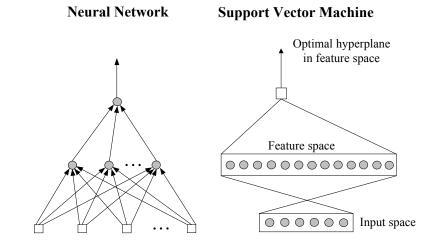


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The generic scheme of SVM

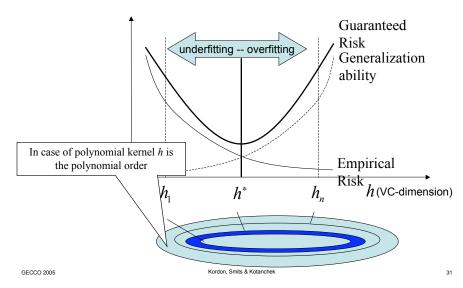


Support Vector Machines and Neural Networks



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Structural Risk Minimization Principle



Structural Risk Minimization Principle

- Trade-off between quality of approximation of the given data and the complexity of the approximating function.
- The VC-dimension is now a controlling variable
- Chooses the set of functions with the lowest VCdimension for which minimizing the empirical risk gives the best bound on the actual risk.
- Minimize

$$R(\alpha) \leq R_{\rm emp}(\alpha) + \Phi(\frac{\ell}{h})$$
Prediction error Complexity

Where $_$ is the model parameter of interest, \emph{I} is the sample size and \emph{h} is the complexity measure

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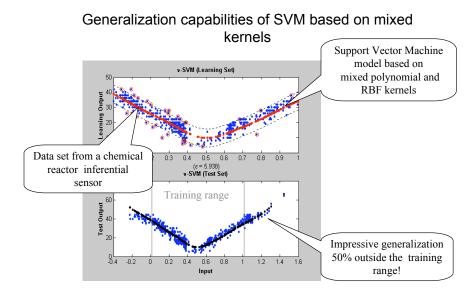
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Structural Risk Minimization in learning algorithms

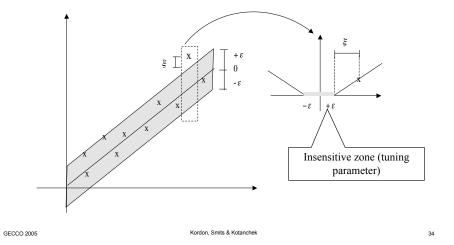
- Keep $\Phi(\frac{\ell}{h})$ fixed, minimize $R_{\rm emp}(\alpha)$
 - Neural Networks
- Keep $R_{\rm emp}(\alpha)$ fixed, minimize $\Phi(\frac{\ell}{h})$
 - Support Vector Machines

Neural Networks and Support Vector Machines are two sides of the same coin

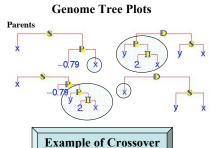
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SVM for Regression: Constructing a tube



Genetic Programming



Phenotypes (Expressions)

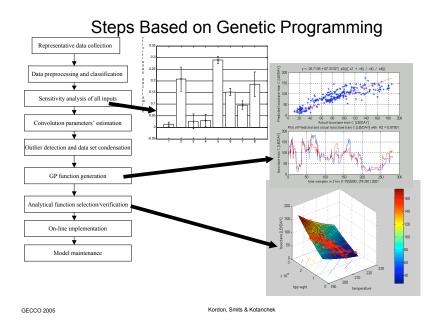
Operation

Parents
- (-0.787701) * + x

Children
- (-0.787701) * + x

- Based on artificial evolution of millions of potential nonlinear functions => survival of the fittest
- Many possible solutions with different levels of complexity
- The final result is an explicit nonlinear function
- Better generalization capabilities than neural nets
- Low implementation requirements
- Time delays
- Sensitivity analysis of large data sets
- Relatively slow (several hours of computational time)

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Problems with Genetic Programming

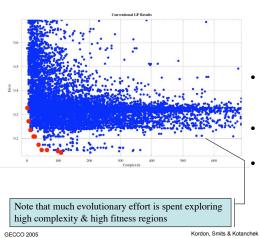
- Relatively Slow Discovery
 - Computational demands are intense
- · Selection of "Quality" Solutions
 - Trade-off of Complexity vs. Performance
- Good-but-not-Great Solutions
 - Other nonlinear techniques (e.g., neural nets) outperform in raw performance
- Bloat

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Parsimony control requires user intervention and is problem dependent

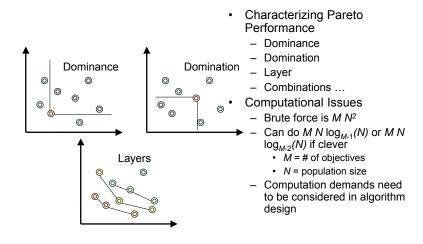
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The Pareto Front



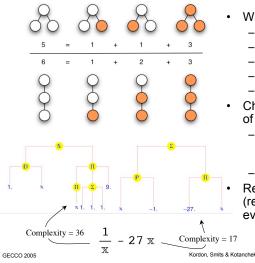
- Identifies trade-off surface between competing objectives
 - e.g., performance vs. complexity
- Pareto front solutions are the best "bang-for-the-buck"
- Introns are punished automatically
- How can we exploit?

Pareto Performance



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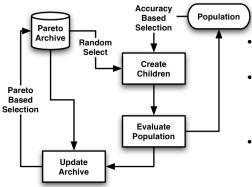
Genome Complexity



- What is complexity?
 - # of nodes?
 - Tree depth?
 - Included functions?
 - Number of variables?
 - Combinations?
- Chosen function is sum of sum of node counts
 - Provides more resolution at low end of complexity than simply using node count
 - Rewards fewer layers

Real goal is to characterize the (relative) "smoothness" of the evolved function

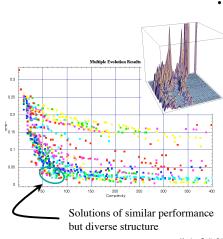
Pareto GP Algorithm



- Select from population based upon model accuracy
- Select randomly from Pareto archive
- Cascades ...
 - Pareto archive maintained
 - Population wiped out (fresh genes!)
- Independent runs with independent archives for diversity
- · There are other variants along these lines

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Pareto GP Features



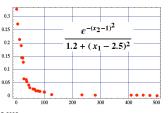
- 60-100x speedup relative to prior symbolic regression implementation Improved solutions Implicit intron suppression Facilitates ...
 - Generation of diverse ensembles
 - Metavariable identification

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Faster post-run analysis

Pareto Front

- A run tends to fully explore a foundation structure Independent evolutions will result in different (but still fit) structures
- Cascading results from independent evolutions seems to be beneficial
- Note that we are not strictly restricted to the Pareto front in selecting models -- many models may be "good enough" and have the benefit of being structurally different and diverse



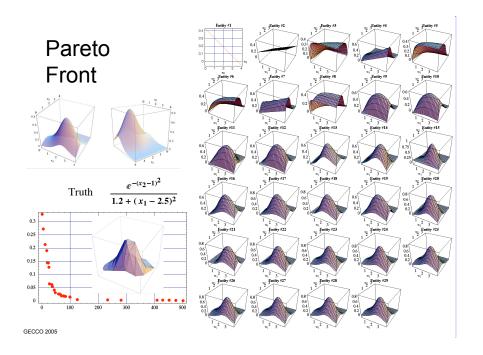
		Complexity	Raw	Adj.	Norm.
	x2 x1	1 5	0.672	0.672	0.673
	x2 x1	11	0.786	0.786	0.787
	x2 x1	17	0.81	0.81	0.811
	x2 xi	19	0.855	0.855	0.857
	x2 x1	22	0.855	0.855	0.857
	x2 x1	23	0.856	0.856	0.857
	12 11	25	0.872	0.872	0.874
	x2 x1	27	0.934	0.934	0.936
- (x	x2 x1	35	0.937	0.937	0.939
- (x ₁	x2 x1	37	0.945	0.945	0.947
(15.	x2 x1	44	0.958	0.958	0.96
(x ₁	x2 x1	48	0.968		0.97
x2	x2 v1	59	0.973	0.973	0.975
(5.2	N2	29	0.913	0.975	0.975
4.82	x1 x2	65	0.974	0.974	0.976
4.0	x1 x2	69	0.98	0.98	0.982
(x ₂ +4	x1 x2	77	0.98	0.98	0.982
2. (3.	x1 x2	78	0.981	0.981	0.983
2.*1. [2.1	xi x2	84	0.981	0.981	0.984
2. (3.13)	x1 x2	99	0.984	0.984	0.986
(3. ⁸ 2	x1 x2	100	0.985	0.985	0.987
2. (3.138*2	xi x2	126	0.994	0.994	0.996
(x ₂ =1) x ₂ = 1 = x ₁ (x	x1 x2	233	0.995	0.995	0.997
,	x1 x2	281	0.996	0.996	0.998
(x ₂ -1) x ₂ + 1 x ₁ (x	x1	409	0.996	0.007	0.999
x ₁ , +x ₂ , +-	x2	409	0.996	0.996	0.999
$(x_2, -1.)$ $x_2^2 + \frac{1}{3} - x_1.$ $(x_2, -1.)$		429	0.996	0.996	0.999
N1.+N2.+-	x1 x2	429	0.996	0.996	0.999
$(\kappa_2, -1.) \times_2^2 + \frac{1}{2.} \times_1 \cdot (\kappa_2,$					
x ₁ , +x ₂ , + <u>[x</u>]	xi x2	451	0.996	0.996	0.999
$(x_2,-1.)$ x_2^2 $+\frac{1}{2}$ x_1 $(x_2,$					
x ₁ , +x ₂ , + (x ₁	x1 x2	475	0.997	0.997	1.
$(x_2, -1.) \ x_2^2 + \frac{1}{3.} \ x_1, \ (x_2, -1.)$					
x ₁ , +x ₂ , + [x ₁	x1 x2	501	0.998	0.998	1.
$(x_2, -1.) \times_0^2 + \frac{1}{3} \times_1 (x_2, -1.)$	-				

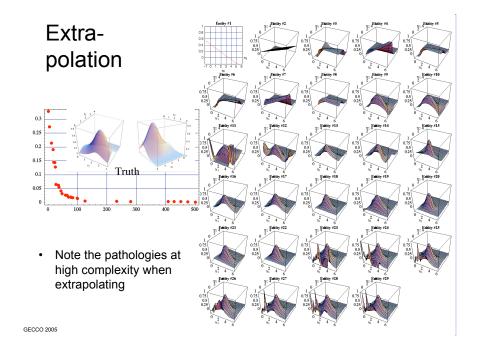
-5.) x₁, x₂^{8.568-0.753}x₂ 0991 (1.199%1 | N1 +x2 -x2 -x₁, x₁, -1.] (3, x₂,)², -x₂, x₁ :) 2 -x2 x₁ -x₁ - x₁ - x₂ 2. x_{1.} +2. x_{2.} +3. (x_{2.} -1. (x_{1.} -2.261) x_{1.}) (1 / (x_{1.} +3. x_{2.} -1.)+2.26 $(x_1, -3.261) x_1, (x_2, -x_2^2, -x_2, -x_1, -x_2^2)$

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Particle swarm optimization

An efficient technique to find the global optimum for model inversion and non-linear parameter estimation

At each time step t

For each particle i

Update the position change (velocity)

$$V_i(t+1) = \chi \cdot (V_i(t) + c_1 \cdot rand(0,1) \cdot (P_i(t) - X_i(\overline{t}))$$

 $+c_2 \cdot rand(0,1) \cdot (P_g(t) - X_i(t))$

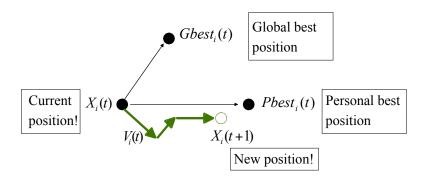
Then move

$$X_i(t+1) = X_i(t) + V_i(t+1)$$

Note: - stochastic component

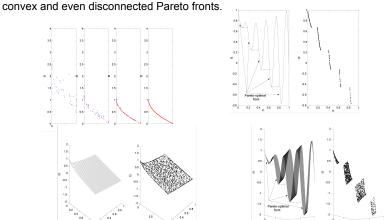
- parameters c_1, c_2, χ default values (2.05, 2.05, 0.73)

Particle's Movement – A Compromise



Multi-Objective PSO

Efficient technique to determine the Pareto front for problems with convex, non-



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Representative data collection

Representative data collection

MATLAB, Excel

NN sensitivity analysis of all inputs

Convolution parameters' estimation

Convolution parameters' estimation

WATLAB Toolbox

Outlier detection and data set condensation

MATLAB & MATLAB Gollox

Outlier detection and data set condensation

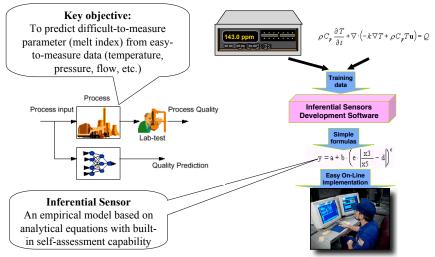
MATLAB & MATLAB Toolbox

Off function generation

MATLAB & MA

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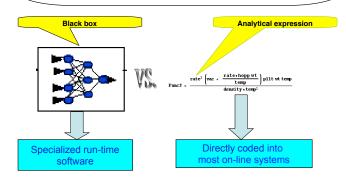
Case Study: Inferential Sensors



Issues with neural net-based inferential sensors

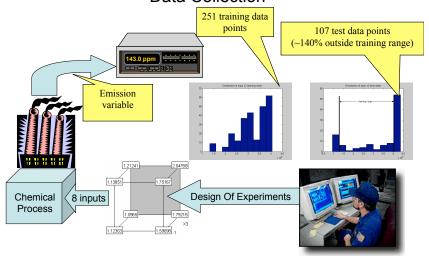
Issues with existing neural net-based inferential sensors:

- High sensitivity to process changes
- Frequent re-training
- Complicated development & maintenance
- Low survival rate after 3 years in operation
- Engineers hate black-boxes

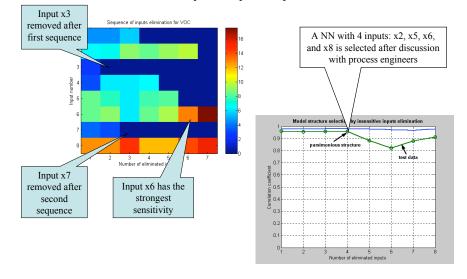


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Inferential sensor for emission monitoring: A case study Data Collection

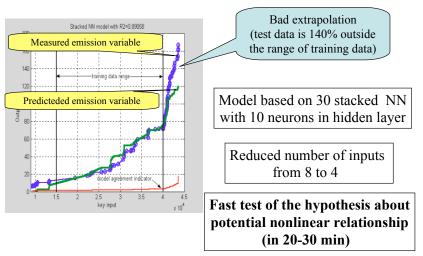


Inferential sensor for emission monitoring: A case study Sensitivity analysis by SANN

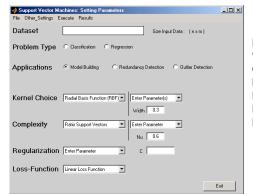


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Inferential sensor for emission monitoring: A case study (SANN model performance)



Inferential sensor for emission monitoring: A case study (SVM parameters)



Parameters:

% support vectors: 10

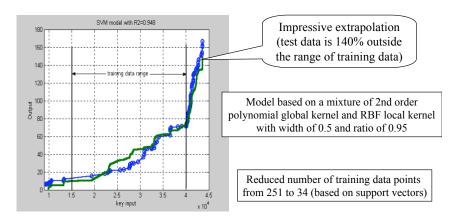
 $C = 10^6$

Mixed Kernels: Polynomial and RBF Range of Polynomial kernels: 1-3 Range of RBF kernel: 0.25-0.75

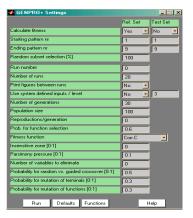
Range of ratio 0.5 – 0.99

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Inferential sensor for emission monitoring: A case study (SVM model performance)



Inferential sensor for emission monitoring: A case study (GP parameters)

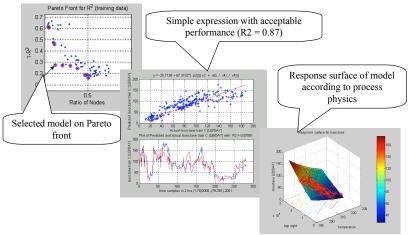


Parameters for a GP simulated evolution

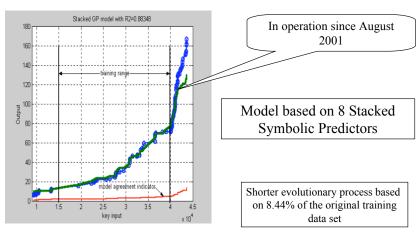
Reference data	:34
Random subset selection [%]	:100
Number of runs	:20
Population size	:500
Number of generations	:100
Probability for function as next node	:0.6
Optimization function	:Corr.
Parsimony pressure	:0.1
Prob. for random vs guided crossover	:0.5
Probability for mutation of terminals	:0.3
Probability for mutation of functions	:0.3

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Inferential sensor for emission monitoring: A case study (Selected symbolic regression model)

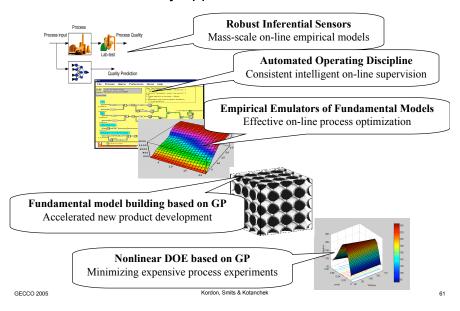


Inferential sensor for emission monitoring: A case study (Final solution: Stacked Symbolic Regression model)

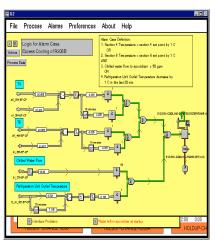


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Key application areas



Automating Operating Discipline



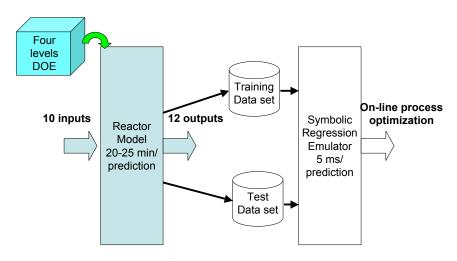
- Heuristic rules defined verbally by process engineers/operators
- holdup predictor designed by stacked analytic NN and GP
- all decision blocks have fuzzy thresholds defined by membership functions
- simple empirical models and mass balances
- fundamental model predictions are used in the heuristic rules
- reduced major shutdowns
- · reduced lab sampling

EC Applications in Dow Chemical

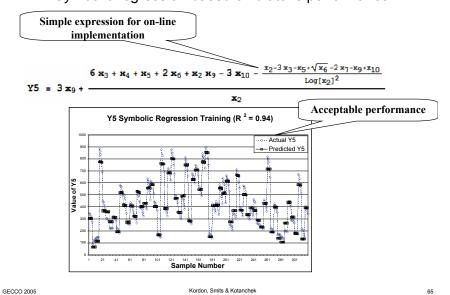
Application Domains	Examples
Material Design	Color Matching Appearance Engineering Polymer Design Synthetic Leather
Materials Research	Diverse Chemical Library Selection Fundamental Model Building Reaction Kinetics Modeling Combi-Chem Catalyst Exploration Combi-Chem Data Analysis
Production Design	Acicular Mullite Emulator EDC/VCM Nonlinear DOE Bioreactor Optimization
Production Monitoring & Analysis	Epoxy Holdup Monitoring Isocyanate Level Estimation FTIR Calibration Variable Selection Poly-3 Volatile Emission Monitoring Epoxy Intelligent Alarm Processing PerTet Emulator for Online Optimization Emissions Monitoring
Business Modeling	Diffusion of Innovation Hydrocarbon Trading & Energy Systems Optimization Scheduling Heuristics Plant Capacity Drivers

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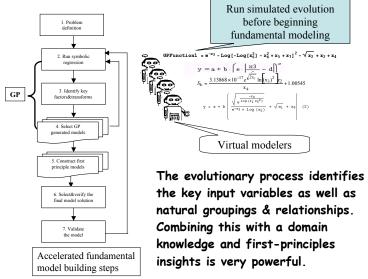
Emulator for optimization of an industrial chemical process



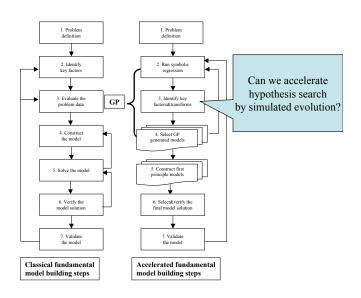
Symbolic regression-based emulator's performance



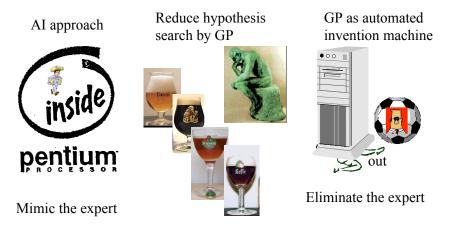
Fundamental Model Building Based on GP



Accelerated Fundamental Model Building Based on GP



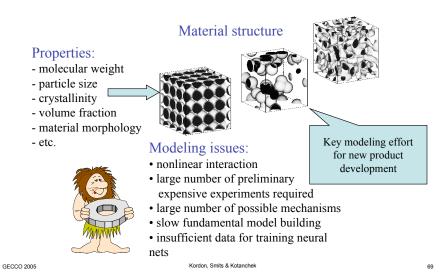
Approaches to accelerate fundamental model building process



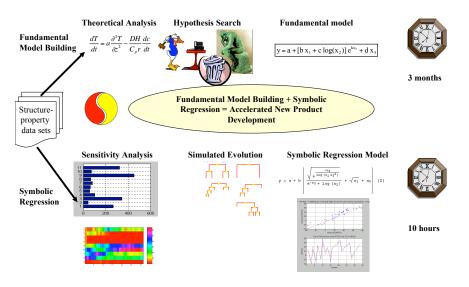
Maximize creativity of the expert

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The problem of structure-properties in fundamental modeling



Case Study with Structure-Property Relationships



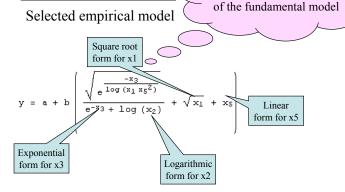
Results from hypothesis search

Selected symbolic regression empirical model

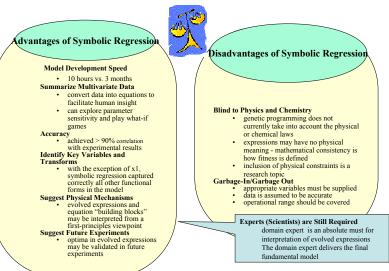
Fundamental model

GP-generated empirical model

 $y = a + [b x_1 + c \log(x_2)] e^{kx_3} + d x_5$ captured correctly the functional forms



Comparative Analysis of Symbolic Regression in Fundamental Model Building



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GP and Design Of Experiments (DOE) Models Showing Lack of Fit

Situations of Lack of Fit

1. Simple factorial DOE Enough experiments to fit first order model

$$y = \hat{a}_o + \sum_{i=1}^k \hat{a}_i x_i + \sum_{i=1}^k \hat{a}_{ij} x_i x_j$$

Classical approach if LOF add experiments to fit second order model

$$S_k = \beta_o + \sum_{i=1}^k \beta_i x_i + \sum \beta_{ii} x_i^2 + \sum \sum_{i \le j} \beta_{ij} x_i x_j$$



2. A response surface DOE already had all experiments to fit second order model

$$S_k = \beta_o + \sum_{i=1}^k \beta_i x_i + \sum_{i=1}^k \beta_{ii} x_i^2 + \sum_{i=1}^k \sum_{j=1}^k \beta_{ij} x_i x_j$$

Classical approach if LOF no alternative (use model as it is)

Suggested approach: Use GP to transform inputs

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1. Generate GP models

Selected solution

 $S_{k} = \frac{3.13868 \times 10^{-17} e^{\sqrt{2\kappa_{1}} \ln \left[(x_{3})^{2} \right] x_{2}}{x_{4}} + 1.00545 \quad (2)$ $Variable transformations suggested by GP model
<math display="block">Transformed Variable x_{1} = \exp \left(\sqrt{2x_{1}} \right)$

Original Variable	Transformed Variable
\mathbf{x}_1	$Z_1 = \exp\left(\sqrt{2x_1}\right)$
\mathbf{x}_2	$Z_2 = x_2$
\mathbf{x}_3	$Z_3 = \ln[(x_3)^2]$
X_4	$Z_4 = X_4^{-1}$

2. Generate input transforms

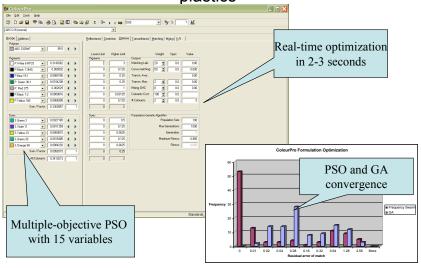
3. Fit response surface model in transformed variables

$$\vec{S}_{k} = \beta_{o} + \sum_{i=1}^{4} \beta_{i} Z_{i} + \sum_{i < j} \beta_{ij} Z_{i} Z_{j} + \sum_{i=1}^{4} \beta_{ii} Z_{i}^{2}$$

Source	DF	Sum of	Mean	F Ratio
Lack of Fit	2	8.96849 190	8.9082 46	2.2554
Pure Error	2	0.00021810	0.000109	Prob > F
Total	2	0.00071000		0.3072
Error				Max
				6.99 99

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PSO application: Optimizing color spectrum of plastics

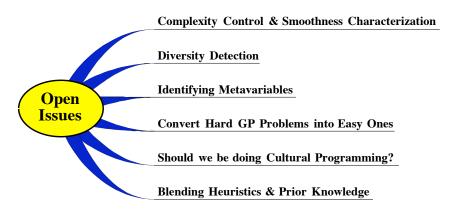


Other PSO applications

- Drug release predictor
 - 6 parameters
 - population size = 30
 - optimization time: ~ 30 seconds
- Foam acoustics performance predictor
 - 8 parameters
 - population size = 50
 - optimization time: ~ 5 seconds
- Crystallization kinetics predictor
 - 4 parameters
 - population size = 30
 - optimization time: ~ 2 seconds

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Open Issues



Summary

- Evolutionary Computing can create significant value to industry by reducing model development time and model exploitation cost
- Integrating EC with Neural Networks, Support Vector Machines, and Statistics is recommended for successful industrial applications
- This strategy works for many real applications in the chemical industry
- The key application areas are:
 - Inferential sensors
 - Improved process monitoring and control
 - Accelerated new product development
 - Effective design of experiments
- And this is only the beginning ...



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