

Tokeneer in Isabelle/UTP

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1 Tokeneer in Isabelle/UTP

```
theory Tokeneer
  imports
    ZedLite.zedlite
```

UTP.utp-easy-parser
begin recall-syntax

2 Introduction

hide-const *dom*

named-theorems *tis-defs*

2.1 TIS Basic Types

type-synonym *TIME = nat*

abbreviation *zeroTime $\equiv 0$*

datatype *PRESENCE = present | absent*

datatype *CLASS = unmarked | unclassified | restricted | confidential | secret | topsecret*

record *Clearance =*
class :: CLASS

consts *minClearance :: Clearance \times Clearance \Rightarrow Clearance*

datatype *PRIVILEGE = userOnly | guard | securityOfficer | auditManager*

typedecl *USER*

consts *ISSUER :: USER set*

typedecl *FINGERPRINT*

typedecl *FINGERPRINTTEMPLATE*

alphabet *FingerprintTemplate =*
template :: FINGERPRINTTEMPLATE

2.2 Keys and Encryption

typedecl *KEYPART*

abbreviation *KEYPART :: KEYPART set where KEYPART \equiv UNIV*

2.3 Certificates, Tokens, and Enrolment Data

2.3.1 Certificates

typedecl *TOKENID*

record *CertificateId* =
 issuer :: *USER*

definition *CertificateId* :: *CertificateId* set **where**
[*upred-defs*, *tis-defs*]: *CertificateId* = {*c*. *issuer* *c* ∈ *ISSUER*}

record *Certificate* =
 cid :: *CertificateId*
 validityPeriod :: *TIME* set
 isValidatedBy :: *KEYPART* option

definition *Certificate* :: 'a *Certificate-scheme* set **where**
[*upred-defs*, *tis-defs*]: *Certificate* = {*c*. *cid* *c* ∈ *CertificateId*}

record *IDCert* = *Certificate* +
 subject :: *USER*
 subjectPubK :: *KEYPART*

definition *IDCert* :: 'a *IDCert-scheme* set **where**
[*upred-defs*, *tis-defs*]: *IDCert* = *Certificate*

definition *CAIDCert* :: *IDCert* set **where**
[*upred-defs*, *tis-defs*]: *CAIDCert* = {*c* ∈ *IDCert*. *isValidatedBy* *c* = *Some*(*subjectPubK* *c*)}

record *AttCertificate* = *Certificate* +
 baseCertId :: *CertificateId*
 atokenID :: *TOKENID*

definition *AttCertificate* :: 'a *AttCertificate-scheme* set **where**
[*upred-defs*, *tis-defs*]: *AttCertificate* = *Certificate*

record *PrivCert* = *AttCertificate* +
 role :: *PRIVILEGE*
 clearance :: *Clearance*

definition *PrivCert* :: *PrivCert* set **where**
[*upred-defs*, *tis-defs*]: *PrivCert* = *AttCertificate*

type-synonym *AuthCert* = *PrivCert*

abbreviation *AuthCert* :: *AuthCert* set **where** *AuthCert* ≡ *PrivCert*

record *IandACert* = *AttCertificate* +
 template :: *FingerprintTemplate*

definition *IandACert* :: *IandACert* set **where**

[upred-defs, tis-defs]: $IandACert = AttCertificate$

2.3.2 Tokens

```
record Token =  
  tokenID :: TOKENID  
  idCert :: IDCert  
  privCert :: PrivCert  
  iandACert :: IandACert  
  authCert :: AuthCert option
```

definition $Token :: Token$ set **where**

[upred-defs, tis-defs]:

$$Token = \{c. idCert\ c \in IDCert \wedge \\ privCert\ c \in PrivCert \wedge \\ iandACert\ c \in IandACert \wedge \\ (\forall\ x. authCert\ c = Some(x) \longrightarrow x \in AuthCert) \\ \}$$

definition $ValidToken :: Token$ set **where**

[upred-defs, tis-defs]:

```
 $ValidToken =$   
  { $t \in Token. baseCertId\ (privCert\ t) = cid\ (idCert\ t)$   
     $\wedge baseCertId\ (iandACert\ t) = cid\ (idCert\ t)$   
     $\wedge atokenID\ (privCert\ t) = tokenID\ t$   
     $\wedge atokenID\ (iandACert\ t) = tokenID\ t$ }
```

definition $TokenWithValidAuth :: Token$ set **where**

[upred-defs, tis-defs]:

```
 $TokenWithValidAuth =$   
  { $t. authCert\ t \neq None \wedge$   
     $atokenID\ (the\ (authCert\ t)) = tokenID\ t \wedge$   
     $baseCertId\ (the\ (authCert\ t)) = cid\ (idCert\ t)$ }
```

definition $CurrentToken :: TIME \Rightarrow Token$ set **where**

[upred-defs, tis-defs]:

```
 $CurrentToken\ now =$   
  ( $ValidToken \cap$   
    { $t. now \in validityPeriod\ (idCert\ t)$   
       $\cap validityPeriod\ (privCert\ t)$   
       $\cap validityPeriod\ (iandACert\ t)$ })
```

2.3.3 Enrolment Data

```
record Enrol =  
  idStationCert :: IDCert  
  issuerCerts :: IDCert set
```

We had to add two extra clauses to Enrol here that we're specified in the Tokeneer Z-schema, namely that (1) all issuer certificates correspond to ele-

ments of *ISSUER* and (2) the subjects uniquely identify one issue certificate. Without these, it is not possible to update the key store and maintain the partial function there.

definition *Enrol* :: *Enrol set where*

[*upred-defs*, *tis-defs*]:

$$\text{Enrol} = \{e. \text{idStationCert } e \in \text{issuerCerts } e \wedge \\ \text{subject } e \subseteq \text{ISSUER} \wedge \\ (\forall c \in \text{issuerCerts } e. \forall d \in \text{issuerCerts } e. \text{subject } c = \text{subject } d \longrightarrow \\ c = d)\}$$

definition *ValidEnrol* :: *Enrol set where*

[*upred-defs*, *tis-defs*]:

$$\text{ValidEnrol} = (\text{Enrol} \cap \\ \{e. \text{issuerCerts } e \cap \text{CAIdCert} \neq \{\} \wedge \\ (\forall \text{cert} \in \text{issuerCerts } e. \text{isValidatedBy } \text{cert} \neq \text{None} \wedge \\ (\exists \text{issuerCert} \in \text{issuerCerts } e. \\ \text{issuerCert} \in \text{CAIdCert} \wedge \\ \text{the}(\text{isValidatedBy } \text{cert}) = \text{subjectPubK } \text{issuerCert} \wedge \\ \text{issuer } (\text{cid } \text{cert}) = \text{subject } \text{issuerCert}))\})$$

2.4 World Outside the ID Station

2.4.1 Real World Types and Entities (1)

datatype *DOOR* = *dopen* | *closed*

datatype *LATCH* = *unlocked* | *locked*

datatype *ALARM* = *silent* | *alarming*

datatype *DISPLAYMESSAGE* = *blank* | *welcom* | *insertFinger* | *openDoor* | *wait* | *removeToken* | *tokenUpdateFailed* | *doorUnlocked*

datatype *FINGERPRINTTRY* = *noFP* | *badFP* | *goodFP FINGERPRINT*

alphabet *Finger* =

currentFinger :: *FINGERPRINTTRY*

fingerPresence :: *PRESENCE*

abbreviation *Finger* :: *Finger upred where Finger* \equiv *true*

alphabet *DoorLatchAlarm* =

currentTime :: *TIME*

currentDoor :: *DOOR*

currentLatch :: *LATCH*

doorAlarm :: *ALARM*

latchTimeout :: *TIME*

alarmTimeout :: *TIME*

definition *DoorLatchAlarm* :: *DoorLatchAlarm upred where*

[*upred-defs*, *tis-defs*]:

DoorLatchAlarm = (

```

(currentLatch = «locked» ⇔ currentTime ≥ latchTimeout) ∧
(doorAlarm = «alarming» ⇔
  (currentDoor = «dopen»
   ∧ currentLatch = «locked»
   ∧ currentTime ≥ alarmTimeout))
)_e

```

3 The Token ID Station

3.1 Configuration Data

```

consts maxSupportedLogSize :: nat

```

```

alphabet Config =

```

```

  alarmSilentDuration :: TIME
  latchUnlockDuration :: TIME
  tokenRemovalDuration :: TIME
  enclaveClearance :: Clearance
  authPeriod :: PRIVILEGE ⇒ TIME ⇒ TIME set
  entryPeriod :: PRIVILEGE ⇒ CLASS ⇒ TIME set
  minPreservedLogSize :: nat
  alarmThresholdSize :: nat

```

```

definition Config :: Config upred where

```

```

[upred-defs, tis-defs]:

```

```

Config = (alarmThresholdSize < minPreservedLogSize ∧
  minPreservedLogSize ≤ «maxSupportedLogSize» ∧
  latchUnlockDuration > 0 ∧
  alarmSilentDuration > 0)_e

```

3.2 AuditLog

```

typedecl AuditEvent

```

```

typedecl AuditUser

```

```

alphabet Audit =

```

```

  auditTime :: TIME
  auditEvent :: AuditEvent
  auditUser :: AuditUser
  sizeElement :: nat

```

3.2.1 Real World Types and Entities (2)

```

datatype FLOPPY = noFloppy | emptyFloppy | badFloppy | enrolmentFile (enrolmentFile-of:
  Enrol) |

```

```

  auditFile Audit set | configFile Config

```

```

definition FLOPPY :: FLOPPY upred where

```

```

[upred-defs, tis-defs]:

```

$FLOPPY = (\forall e \cdot \mathbf{v} = \langle\langle \text{enrolmentFile } e \rangle\rangle \Rightarrow \langle\langle e \in \text{ValidEnrol} \rangle\rangle)_e$

alphabet *Floppy* =
currentFloppy :: *FLOPPY*
writtenFloppy :: *FLOPPY*
floppyPresence :: *PRESENCE*

definition *Floppy* :: *Floppy upred* **where**
[*upred-defs*, *tis-defs*]:
Floppy = (*FLOPPY* \oplus_p *currentFloppy* \wedge *FLOPPY* \oplus_p *writtenFloppy*)

definition [*upred-defs*, *tis-defs*]: *ADMINPRIVILEGE* = {*guard*, *auditManager*,
securityOfficer}

datatype *ADMINOP* = *archiveLog* | *updateConfigData* | *overrideLock* | *shutdownOp*

datatype *KEYBOARD* = *noKB* | *badKB* | *keyedOps* (*ofKeyedOps*: *ADMINOP*)

alphabet *Keyboard* =
currentKeyedData :: *KEYBOARD*
keyedDataPresence :: *PRESENCE*

abbreviation *Keyboard* :: *Keyboard upred* **where** *Keyboard* \equiv *true*

3.3 System Statistics

alphabet *Stats* =
successEntry :: *nat*
failEntry :: *nat*
successBio :: *nat*
failBio :: *nat*

abbreviation *Stats* :: *Stats upred* **where** *Stats* \equiv *true*

3.4 Key Store

alphabet *KeyStore* =
issuerKey :: *USER* \leftrightarrow *KEYPART*
ownName :: *USER option*

definition *KeyStore* :: *KeyStore upred* **where**
[*upred-defs*, *tis-defs*]:
KeyStore =
(*issuerKey* \in $\langle\langle \text{ISSUER} \rightarrow_r \text{KEYPART} \rangle\rangle$ \wedge
udom(*issuerKey*) \subseteq $\langle\langle \text{ISSUER} \rangle\rangle$ \wedge
(*ownName* \neq $\langle\langle \text{None} \rangle\rangle$ \Rightarrow *the*(*ownName*) \in *udom*(*issuerKey*)))_{*e*}

definition *CertIssuerKnown* :: '*a Certificate-scheme* \Rightarrow *KeyStore upred* **where**
[*upred-defs*, *tis-defs*]:
CertIssuerKnown *c* =
(*KeyStore* \wedge

$(\ll c \in \text{Certificate} \gg \wedge$
 $\ll \text{issuer } (cid\ c) \gg \in \text{udom}(\text{issuerKey}))_e)$

definition *CertOK* :: 'a *Certificate-scheme* \Rightarrow *KeyStore upred* **where**
 [*upred-defs*, *tis-defs*]:

CertOK *c* =
 (*CertIssuerKnown* *c* \wedge
 (*Some*(*issuerKey*[$\ll \text{issuer } (cid\ c) \gg$]) = $\ll \text{isValidatedBy } c \gg$))_e)

definition *CertIssuerIsThisTIS* :: 'a *Certificate-scheme* \Rightarrow *KeyStore upred* **where**
 [*upred-defs*, *tis-defs*]:

CertIssuerIsThisTIS *c* =
 (*KeyStore* \wedge
 $\ll c \in \text{Certificate} \gg \wedge$
 (*ownName* $\neq \ll \text{None} \gg \wedge$
 $\ll \text{issuer } (cid\ c) \gg = \text{the}(\text{ownName}))_e)$

definition *AuthCertOK* :: 'a *Certificate-scheme* \Rightarrow *KeyStore upred* **where**
 [*upred-defs*, *tis-defs*]: *AuthCertOK* *c* = (*CertIssuerIsThisTIS* *c* \wedge *CertOK* *c*)

definition *oldestLogTime* :: *Audit set* \Rightarrow *TIME* **where**
 [*upred-defs*, *tis-defs*]:

oldestLogTime *lg* = (*Min* (*get_auditTime* ' *lg*))

definition *newestLogTime* :: *Audit set* \Rightarrow *TIME* **where**
 [*upred-defs*, *tis-defs*]:

newestLogTime *lg* = (*Max* (*get_auditTime* ' *lg*))

lemma *newestLogTime-union*: $\ll \text{finite } A; A \neq \{\}; \text{finite } B; B \neq \{\} \gg \Longrightarrow$ *newestLogTime* (*A* \cup *B*) \geq *newestLogTime* *A*
 by (*simp add: newestLogTime-def*)

lemma *oldestLogTime-union*: $\ll \text{finite } A; A \neq \{\}; \text{finite } B; B \neq \{\} \gg \Longrightarrow$ *oldestLogTime* (*A* \cup *B*) \leq *oldestLogTime* *A*
 by (*simp add: oldestLogTime-def*)

3.5 Administration

alphabet *Admin* =
rolePresent :: *PRIVILEGE option*
availableOps :: *ADMINOP set*
currentAdminOp :: *ADMINOP option*

definition *Admin* :: *Admin upred* **where**
 [*upred-defs*, *tis-defs*]:

Admin =
 ((*rolePresent* $\neq \ll \text{None} \gg \Rightarrow \text{the}(\text{rolePresent}) \in \ll \text{ADMINPRIVILEGE} \gg$) \wedge
 (*rolePresent* = $\ll \text{None} \gg \Rightarrow \text{availableOps} = \{\}$) \wedge
 (*rolePresent* $\neq \ll \text{None} \gg \wedge \text{the}(\text{rolePresent}) = \ll \text{guard} \gg \Rightarrow \text{availableOps} =$

$$\begin{aligned}
& \{\llcorner\text{overrideLock}\llcorner\} \wedge \\
& \quad (\text{rolePresent} \neq \llcorner\text{None}\llcorner \wedge \text{the}(\text{rolePresent}) = \llcorner\text{auditManager}\llcorner \Rightarrow \text{availableOps} \\
& = \{\llcorner\text{archiveLog}\llcorner\} \wedge \\
& \quad (\text{rolePresent} \neq \llcorner\text{None}\llcorner \wedge \text{the}(\text{rolePresent}) = \llcorner\text{securityOfficer}\llcorner \\
& \quad \Rightarrow \text{availableOps} = \{\llcorner\text{updateConfigData}\llcorner, \llcorner\text{shutdownOp}\llcorner\}) \wedge \\
& \quad (\text{currentAdminOp} \neq \llcorner\text{None}\llcorner \Rightarrow \\
& \quad \quad \text{the}(\text{currentAdminOp}) \in \text{availableOps} \wedge \text{rolePresent} \neq \llcorner\text{None}\llcorner) \\
&)_e
\end{aligned}$$

3.6 AuditLog (2)

alphabet *AuditLog* =
auditLog :: *Audit set*
auditAlarm :: *ALARM*

abbreviation *AuditLog* :: *AuditLog upred where*
AuditLog \equiv *true*

3.6.1 Real World Types and Entities (3)

datatype *SCREENTEXT* = *clear* | *welcomeAdmin* | *busy* | *removeAdminToken*
| *closeDoor* |
requestAdminOp | *doingOp* | *invalidRequest* | *invalidData* |
insertEnrolmentData | *validatingEnrolmentData* | *enrolmentFailed* |
archiveFailed | *insertBlankFloppy* | *insertConfigData* |
displayStats Stats | *displayConfigData Config*

alphabet *Screen* =
screenStats :: *SCREENTEXT*
screenMsg :: *SCREENTEXT*
screenConfig :: *SCREENTEXT*

datatype *TOKENENTRY* = *noT* | *badT* | *goodT* (*ofGoodT*: *Token*)

alphabet *UserToken* =
currentUserToken :: *TOKENENTRY*
userTokenPresence :: *PRESENCE*

definition *UserToken* :: *UserToken upred where*
[*upred-defs*, *tis-defs*]:
UserToken = (($\exists t \cdot \text{currentUserToken} = \text{goodT}(\llcorner t \llcorner)$) \Rightarrow *ofGoodT*(*currentUserToken*)
 $\in \llcorner\text{Token}\llcorner$)_e

alphabet *AdminToken* =
currentAdminToken :: *TOKENENTRY*
adminTokenPresence :: *PRESENCE*

definition *AdminToken* :: *AdminToken upred where*
[*upred-defs*, *tis-defs*]:

$AdminToken = ((\exists t \cdot currentAdminToken = goodT(\ll t \gg)) \Rightarrow ofGoodT(currentAdminToken)) \in \ll Token \gg)_e$

3.7 Internal State

datatype $STATUS = quiescent \mid gotUserToken \mid waitingFinger \mid gotFinger \mid waitingUpdateToken \mid waitingEntry \mid waitingRemoveTokenSuccess \mid waitingRemoveTokenFail$

datatype $ENCLAVESTATUS = notEnrolled \mid waitingEnrol \mid waitingEndEnrol \mid enclaveQuiescent \mid gotAdminToken \mid waitingRemoveAdminTokenFail \mid waitingStartAdminOp \mid waitingFinishAdminOp \mid shutdown$

alphabet $Internal =$
 $status :: STATUS$
 $enclaveStatus :: ENCLAVESTATUS$
 $tokenRemovalTimeout :: TIME$

definition $Internal :: Internal \text{ upred where}$
 $[upred-defs, tis-defs]:$
 $Internal = true$

3.8 The Whole Token ID Station

alphabet $IDStation =$
 $iuserToken :: UserToken$
 $iadminToken :: AdminToken$
 $ifinger :: Finger$
 $doorLatchAlarm :: DoorLatchAlarm$
 $ifloppy :: Floppy$
 $ikkeyboard :: Keyboard$
 $config :: Config$
 $stats :: Stats$
 $keyStore :: KeyStore$
 $admin :: Admin$
 $audit :: AuditLog$
 $internal :: Internal$
 $currentDisplay :: DISPLAYMESSAGE$
 $currentScreen :: Screen$

definition $UserTokenWithOKAuthCert :: IDStation \text{ upred where}$
 $[upred-defs, tis-defs]:$
 $UserTokenWithOKAuthCert =$
 $(\&iuserToken:currentUserToken \in_u \ll range(goodT) \gg \wedge$
 $(\exists t \in \ll TokenWithValidAuth \gg \cdot$
 $(\ll goodT(t) \gg =_u \&iuserToken:currentUserToken$

$$\begin{aligned}
& (* \quad \wedge \&doorLatchAlarm:currentTime \in_u \ll validityPeriod (the(authCert t)) \gg *) \\
& \quad \wedge (\exists c \in \ll IDCert \gg \cdot \ll c = idCert t \gg \wedge CertOK c) \oplus_p keyStore \\
& \quad \wedge (\exists c \in \ll AuthCert \gg \cdot \ll c = the (authCert t) \gg \wedge AuthCertOK c) \oplus_p \\
& \quad keyStore)) \\
&)
\end{aligned}$$

definition *UserTokenOK* :: *IDStation upred where*

[*upred-defs, tis-defs*]:

$$\begin{aligned}
UserTokenOK = & \\
& (\&iuserToken:currentUserToken \in_u \ll range(goodT) \gg \wedge \\
& (\exists t \cdot \\
& \quad (\ll goodT(t) \gg =_u \&iuserToken:currentUserToken \\
& \quad \wedge \ll t \in CurrentToken ti \gg \ll ti \rightarrow \&doorLatchAlarm:currentTime \gg \\
& \quad \wedge (\exists c \in \ll IDCert \gg \cdot \ll c = idCert t \gg \wedge CertOK c) \oplus_p keyStore \\
& \quad \wedge (\exists c \in \ll PrivCert \gg \cdot \ll c = privCert t \gg \wedge CertOK c) \oplus_p keyStore \\
& \quad \wedge (\exists c \in \ll IandACert \gg \cdot \ll c = iandACert t \gg \wedge CertOK c) \oplus_p keyStore)) \\
&)
\end{aligned}$$

definition *AdminTokenOK* :: *IDStation upred where*

[*upred-defs, tis-defs*]:

$$\begin{aligned}
AdminTokenOK = & \\
& (\&iadminToken:currentAdminToken \in_u \ll range(goodT) \gg \wedge \\
& (\exists t \in \ll TokenWithValidAuth \gg \cdot \\
& \quad (\ll goodT(t) \gg =_u \&iadminToken:currentAdminToken \\
& \quad \wedge \ll t \in CurrentToken ti \gg \ll ti \rightarrow \&doorLatchAlarm:currentTime \gg \\
& \quad \wedge (\exists c \in \ll IDCert \gg \cdot \ll c = idCert t \gg \wedge CertOK c) \oplus_p keyStore \\
& \quad \wedge (\exists c \in \ll AuthCert \gg \cdot \ll Some c = authCert t \gg \wedge AuthCertOK c \\
& \quad \quad \wedge \ll role c \in ADMINPRIVILEGE \gg) \oplus_p keyStore \\
&)) \\
&)
\end{aligned}$$

definition *FingerOK* :: *IDStation upred where*

[*upred-defs, tis-defs*]:

$$\begin{aligned}
FingerOK = & (\\
& \quad Finger \oplus_p ifinger \wedge \\
& \quad UserToken \oplus_p iuserToken \wedge \\
& \quad \&ifinger:currentFinger \in_u \ll range(goodFP) \gg)
\end{aligned}$$

definition *IDStation-inv1* :: *IDStation upred where*

[*upred-defs, tis-defs*]:

$$\begin{aligned}
IDStation-inv1 = & \\
& (internal:status \in \\
& \quad \{\ll gotFinger \gg, \ll waitingFinger \gg, \ll waitingUpdateToken \gg, \ll waitingEntry \gg, \ll wait- \\
& \quad \ll ingRemoveTokenSuccess \gg\} \\
& \Rightarrow (@UserTokenWithOKAuthCert \vee @UserTokenOK))_e
\end{aligned}$$

definition *IDStation-inv2* :: *IDStation upred where*

[*upred-defs, tis-defs*]:

$$IDStation-inv2 =$$

$(admin:rolePresent \neq \langle\langle None \rangle\rangle \Rightarrow @AdminTokenOK)_e$

definition $IDStation-inv3 :: IDStation$ *upred* **where**

$[upred-defs, tis-defs]:$

$IDStation-inv3 =$

$(internal:enclaveStatus \notin \{\langle\langle notEnrolled \rangle\rangle, \langle\langle waitingEnrol \rangle\rangle, \langle\langle waitingEndEnrol \rangle\rangle\}) \Rightarrow$

$keyStore:ownName \neq \langle\langle None \rangle\rangle)_e$

definition $IDStation-inv4 :: IDStation$ *upred* **where**

$[upred-defs, tis-defs]:$

$IDStation-inv4 =$

$(internal:enclaveStatus \in \{\langle\langle waitingStartAdminOp \rangle\rangle, \langle\langle waitingFinishAdminOp \rangle\rangle\})$

$\Leftrightarrow admin:currentAdminOp \neq \langle\langle None \rangle\rangle)_e$

definition $IDStation-inv5 :: IDStation$ *upred* **where**

$[upred-defs, tis-defs]:$

$IDStation-inv5 =$

$(admin:currentAdminOp \neq \langle\langle None \rangle\rangle \wedge the(admin:currentAdminOp) \in \{\langle\langle shutdownOp \rangle\rangle, \langle\langle overrideLock \rangle\rangle\})$

$\Rightarrow internal:enclaveStatus = \langle\langle waitingStartAdminOp \rangle\rangle)_e$

definition $IDStation-inv6 :: IDStation$ *upred* **where**

$[upred-defs, tis-defs]:$

$IDStation-inv6 = (internal:enclaveStatus = \langle\langle gotAdminToken \rangle\rangle \Rightarrow admin:rolePresent = \langle\langle None \rangle\rangle)_e$

definition $IDStation-inv7 :: IDStation$ *upred* **where**

$[upred-defs, tis-defs]:$

$IDStation-inv7 = (currentScreen:screenStats = \langle\langle displayStats \rangle\rangle[stats])_e$

definition $IDStation-inv8 :: IDStation$ *upred* **where**

$[upred-defs, tis-defs]:$

$IDStation-inv8 = (currentScreen:screenConfig = \langle\langle displayConfigData \rangle\rangle[config])_e$

Extra Invariant (1):

definition $IDStation-inv9 :: IDStation$ *upred* **where**

$[upred-defs, tis-defs]:$

$IDStation-inv9 =$

$(internal:status \in$

$\{\langle\langle waitingEntry \rangle\rangle, \langle\langle waitingRemoveToken.Success \rangle\rangle\}$

$\Rightarrow (@UserTokenWithOKAuthCert \vee @FingerOK))_e$

Extra Invariant (2): If an admin token is present, and a role has been validated then the role matches the one present on the authorisation certificate.

definition $IDStation-inv10 :: IDStation$ *upred* **where**

$[upred-defs, tis-defs]:$

$IDStation-inv10 =$

$(iadminToken:adminTokenPresence = \langle\langle present \rangle\rangle \wedge admin:rolePresent \neq \langle\langle None \rangle\rangle)$

$\Rightarrow \text{admin:rolePresent} = \text{Some}(\text{role}(\text{the}(\text{authCert}(\text{ofGoodT}(\text{iadminToken:currentAdminToken}))))))_e$

definition

[upred-defs, tis-defs]:
 $\text{IDStation-wf} =$
 $(\text{DoorLatchAlarm} \oplus_p \text{doorLatchAlarm} \wedge$
 $\text{Floppy} \oplus_p \text{ifloppy} \wedge$
 $\text{KeyStore} \oplus_p \text{keyStore} \wedge$
 $\text{Admin} \oplus_p \text{admin} \wedge$
 $\text{Config} \oplus_p \text{config} \wedge$
 $\text{AdminToken} \oplus_p \text{iadminToken} \wedge$
 $\text{UserToken} \oplus_p \text{iuserToken})$

definition

[upred-defs, tis-defs]:
 $\text{IDStation-inv} = ($
 $\text{IDStation-inv1} \wedge$
 $\text{IDStation-inv2} \wedge$
 $\text{IDStation-inv3} \wedge$
 $\text{IDStation-inv4} \wedge$
 $\text{IDStation-inv5} \wedge$
 $\text{IDStation-inv6} \wedge$
 $\text{IDStation-inv7} \wedge$
 $\text{IDStation-inv8} \wedge$
 $\text{IDStation-inv9} \wedge$
 $\text{IDStation-inv10})$

definition $\text{IDStation} :: \text{IDStation upred where}$

[upred-defs, tis-defs]:
 $\text{IDStation} =$
 $($
 $\text{IDStation-wf} \wedge$
 IDStation-inv
 $)$

lemma $\text{IDStation-correct-intro}:$

assumes $\{\text{DoorLatchAlarm} \oplus_p \text{doorLatchAlarm} \wedge \text{Floppy} \oplus_p \text{ifloppy} \wedge \text{KeyStore}$
 $\oplus_p \text{keyStore} \wedge \text{Admin} \oplus_p \text{admin} \wedge$
 $\text{Config} \oplus_p \text{config} \wedge \text{AdminToken} \oplus_p \text{iadminToken} \wedge \text{UserToken} \oplus_p$
 $\text{iuserToken}\}$
 P
 $\{\text{DoorLatchAlarm} \oplus_p \text{doorLatchAlarm} \wedge \text{Floppy} \oplus_p \text{ifloppy} \wedge \text{KeyStore}$
 $\oplus_p \text{keyStore} \wedge \text{Admin} \oplus_p \text{admin} \wedge$
 $\text{Config} \oplus_p \text{config} \wedge \text{AdminToken} \oplus_p \text{iadminToken} \wedge \text{UserToken} \oplus_p$
 $\text{iuserToken}\}_u$
 $\{\text{IDStation-inv}\} P \{\text{IDStation-inv}\}_u$
shows $\{\text{IDStation}\} P \{\text{IDStation}\}_u$
using *assms*
proof —

have $f1$: $(IDStation\text{-}inv \wedge DoorLatchAlarm \oplus_p doorLatchAlarm \wedge Floppy \oplus_p ifloppy \wedge KeyStore \oplus_p keyStore \wedge Admin \oplus_p admin \wedge Config \oplus_p config \wedge AdminToken \oplus_p iadminToken \wedge UserToken \oplus_p iuserToken) = IDStation$
by (*simp add: IDStation-def IDStation-wf-def utp-pred-laws.inf-commute utp-pred-laws.inf-left-commute*)
then have $f2$: $\{IDStation\} P \{DoorLatchAlarm \oplus_p doorLatchAlarm \wedge Floppy \oplus_p ifloppy \wedge KeyStore \oplus_p keyStore \wedge Admin \oplus_p admin \wedge Config \oplus_p config \wedge AdminToken \oplus_p iadminToken \wedge UserToken \oplus_p iuserToken\}_u$
by (*metis (no-types) assms(1) hoare-r-weaken-pre(2)*)
have $\{IDStation\} P \{IDStation\text{-}inv\}_u$
using $f1$ **by** (*metis (no-types) assms(2) hoare-r-weaken-pre(2) utp-pred-laws.inf-commute*)
then show *?thesis*
using $f2$ $f1$
using *hoare-r-conj* **by** *fastforce*
qed

lemma *IDStation-inv-intro*:

assumes

$\{IDStation\text{-}inv1\} P \{IDStation\text{-}inv1\}_u$
 $\{IDStation\text{-}inv2\} P \{IDStation\text{-}inv2\}_u$
 $\{IDStation\text{-}inv3\} P \{IDStation\text{-}inv3\}_u$
 $\{IDStation\text{-}inv4\} P \{IDStation\text{-}inv4\}_u$
 $\{IDStation\text{-}inv5\} P \{IDStation\text{-}inv5\}_u$
 $\{IDStation\text{-}inv6\} P \{IDStation\text{-}inv6\}_u$
 $\{IDStation\text{-}inv7\} P \{IDStation\text{-}inv7\}_u$
 $\{IDStation\text{-}inv8\} P \{IDStation\text{-}inv8\}_u$
 $\{IDStation\text{-}inv9\} P \{IDStation\text{-}inv9\}_u$
 $\{IDStation\text{-}inv10\} P \{IDStation\text{-}inv10\}_u$

shows $\{IDStation\text{-}inv\} P \{IDStation\text{-}inv\}_u$

by (*simp add: IDStation-inv-def assms hoare-r-conj hoare-r-weaken-pre(1) hoare-r-weaken-pre(2)*)

4 Operations Interfacing to the ID Station (1)

alphabet *TISControlledRealWorld* =

latch :: *LATCH*
alarm :: *ALARM*
display :: *DISPLAYMESSAGE*
screen :: *Screen*

abbreviation *TISControlledRealWorld* :: *TISControlledRealWorld upred* **where**
TISControlledRealWorld \equiv *true*

alphabet *TISMonitoredRealWorld* =

now :: *TIME*
door :: *DOOR*
finger :: *FINGERPRINTTRY*
userToken :: *TOKENENTRY*
adminToken :: *TOKENENTRY*
floppy :: *FLOPPY*
keyboard :: *KEYBOARD*

alphabet *RealWorld* =
controlled :: *TISControlledRealWorld*
monitored :: *TISMonitoredRealWorld*

definition *RealWorld* :: *RealWorld upred* **where**
[*upred-defs*, *tis-defs*]:
RealWorld = *true*

4.1 Real World Changes

We permit any part of the real-world to change without constraint, except time must monotonically increase.

definition *RealWorldChanges* :: *RealWorld hrel* **where**
[*upred-defs*, *tis-defs*]:
RealWorldChanges =
($\bigvee t \cdot$ *monitored:now* := *monitored:now* + $\langle t \rangle$;;
monitored:door := * ;; *monitored:finger* := * ;;
monitored:userToken := * ;; *monitored:adminToken* := * ;;
monitored:floppy := * ;; *monitored:keyboard* := * ;;
controlled:latch := * ;; *controlled:alarm* := * ;;
controlled:display := * ;; *controlled:screen* := *)

lemma *RealWorldChanges-original*: *RealWorldChanges* = (*\$monitored:now'* \geq_u *\$monitored:now*)
by (*rel-auto*, *simp add: nat-le-iff-add*)

lemma *pre-RealWorldChanges*: *Dom(RealWorldChanges)* = *true*
by (*rel-auto*)

alphabet *SystemState* =
idStation :: *IDStation*
realWorld :: *RealWorld*

5 Internal Operations

definition *AddElementsToLog* :: *IDStation hrel* **where**
[*upred-defs*, *tis-defs*]: *AddElementsToLog* = *true*

definition *AuditAlarm* :: *IDStation hrel* **where** [*upred-defs*, *tis-defs*]: *AuditAlarm* = *true*

definition *AuditLatch* :: *IDStation hrel* **where** [*upred-defs*, *tis-defs*]: *AuditLatch* = *true*

definition *AuditDoor* :: *IDStation hrel* **where** [*upred-defs*, *tis-defs*]: *AuditDoor* = *true*

definition *AuditLogAlarm* :: *IDStation hrel* **where** [*upred-defs, tis-defs*]: *AuditLogAlarm* = *true*

definition *AuditScreen* :: *IDStation hrel* **where** [*upred-defs, tis-defs*]: *AuditScreen* = *true*

definition *AuditDisplay* :: *IDStation hrel* **where** [*upred-defs, tis-defs*]: *AuditDisplay* = *true*

definition *NoChange* :: *IDStation hrel* **where** [*upred-defs, tis-defs*]: *NoChange* = *true*

definition *LogChange* :: *IDStation hrel* **where** [*upred-defs, tis-defs*]:
LogChange = (*AuditAlarm* \vee *AuditLatch* \vee *AuditDoor* \vee *AuditLogAlarm* \vee *AuditScreen* \vee *AuditDisplay* \vee *NoChange*)

5.1 Updating System Statistics

definition *AddSuccessfulEntryToStats* :: *Stats hrel* **where** [*upred-defs, tis-defs*]:
AddSuccessfulEntryToStats =
 $(\Delta[\textit{Stats}] \wedge$
 $\textit{failEntry}' =_u \textit{failEntry} \wedge$
 $\textit{successEntry}' =_u \textit{successEntry} + 1 \wedge$
 $\textit{failBio}' =_u \textit{failBio} \wedge$
 $\textit{successBio}' =_u \textit{successBio})$

lemma *AddSuccessfulEntryToStats-prog-def*:
AddSuccessfulEntryToStats = (*successEntry* := *successEntry* + 1)
by (*rel-auto*)

definition *AddFailedEntryToStats* :: *Stats hrel* **where** [*upred-defs, tis-defs*]:
AddFailedEntryToStats =
 $(\Delta[\textit{Stats}] \wedge$
 $\textit{failEntry}' =_u \textit{failEntry} + 1 \wedge$
 $\textit{successEntry}' =_u \textit{successEntry} \wedge$
 $\textit{failBio}' =_u \textit{failBio} \wedge$
 $\textit{successBio}' =_u \textit{successBio})$

lemma *AddFailedEntryToStats-prog-def*:
AddFailedEntryToStats = (*failEntry* := *failEntry* + 1)
by (*rel-auto*)

definition *AddSuccessfulBioEntryToStats* :: *Stats hrel* **where** [*upred-defs, tis-defs*]:
AddSuccessfulBioEntryToStats =
 $(\Delta[\textit{Stats}] \wedge$
 $\textit{failEntry}' =_u \textit{failEntry} \wedge$
 $\textit{successEntry}' =_u \textit{successEntry} \wedge$
 $\textit{failBio}' =_u \textit{failBio} \wedge$

$\$successBio' =_u \$successBio + 1)$

lemma *AddSuccessfulBioEntryToStats-prog-def:*

AddSuccessfulBioEntryToStats = (*successBio* := *successBio* + 1)
by (*rel-auto*)

definition *AddFailedBioEntryToStats* :: *Stats hrel* **where**

[*upred-defs*, *tis-defs*]:

AddFailedBioEntryToStats =
($\Delta[Stats]$ \wedge
 $\$failEntry' =_u \$failEntry \wedge$
 $\$successEntry' =_u \$successEntry \wedge$
 $\$failBio' =_u \$failBio + 1 \wedge$
 $\$successBio' =_u \$successBio$)

lemma *AddFailedBioEntryToStats-prog-def:*

AddFailedBioEntryToStats = (*failBio* := *failBio* + 1)
by (*rel-auto*)

5.2 Operating the Door

definition *UnlockDoor* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

UnlockDoor =
doorLatchAlarm:latchTimeout := *doorLatchAlarm:currentTime* + *config:latchUnlockDuration*
;;
doorLatchAlarm:alarmTimeout := *doorLatchAlarm:currentTime* + *config:latchUnlockDuration*
+ *config:alarmSilentDuration* ;;
doorLatchAlarm:currentLatch := $\langle\langle unlocked \rangle\rangle$;;
doorLatchAlarm:doorAlarm := $\langle\langle silent \rangle\rangle$

lemma *UnlockDoor-correct:*

$\{\{IDStation\}\} UnlockDoor \{\{IDStation\}\}_u$
apply (*rule IDStation-correct-intro*)
apply (*simp-all add: tis-defs*)
apply (*hoare-auto*)
apply (*hoare-auto*)
done

definition *LockDoor* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

LockDoor =
doorLatchAlarm:latchTimeout := *doorLatchAlarm:currentTime* ;;
doorLatchAlarm:alarmTimeout := *doorLatchAlarm:currentTime* ;;
doorLatchAlarm:currentLatch := $\langle\langle locked \rangle\rangle$;;
doorLatchAlarm:doorAlarm := $\langle\langle silent \rangle\rangle$

5.3 Certificate Operations

5.3.1 Generating Authorisation Certificates

definition *NewAuthCert* :: - \Rightarrow - \Rightarrow *TIME* \Rightarrow *IDStation* *upred* **where**
 [*upred-defs*, *tis-defs*]:

NewAuthCert *token newAuthCert curTime* = (
 $\ll token \in ValidToken \gg \wedge$
 $KeyStore \oplus_p keyStore \wedge$
 $Config \oplus_p config \wedge$

 $\&keyStore:ownName \neq_u None_u \wedge$

 $\ll issuer (cid\ newAuthCert) \gg =_u the_u(\&keyStore:ownName) \wedge$
 $\ll validityPeriod\ newAuthCert \gg =_u \&config:authPeriod(\ll role (privCert\ token) \gg)_a(\ll cur-$
 $Time \gg)_a \wedge$
 $\ll baseCertId\ newAuthCert = cid (idCert\ token) \gg \wedge$
 $\ll atokenID\ newAuthCert = tokenID\ token \gg \wedge$
 $\ll role\ newAuthCert = role (privCert\ token) \gg \wedge$
 $\ll clearance\ newAuthCert \gg =_u \ll minClearance \gg(\&config:enclaveClearance, \ll clear-$
 $ance (privCert\ token) \gg)_a \wedge$
 $\ll isValidatedBy\ newAuthCert \gg =_u Some_u(\&keyStore:issuerKey(the_u(\&keyStore:ownName)))_a$
)

5.3.2 Adding Authorisation Certificates to User Token

definition *AddAuthCertToUserToken* :: *IDStation* *hrel* **where**
 [*upred-defs*, *tis-defs*]:

AddAuthCertToUserToken =
 ($\prod t \cdot \prod newAuthCert \cdot$
 $(iuserToken:userTokenPresence = \ll present \gg \wedge$
 $\ll goodT(t) \gg = iuserToken:currentUserToken \wedge$
 $\ll t \in ValidToken \gg \wedge$
 $\@ (NewAuthCert\ t\ newAuthCert\ curTime [curTime \rightarrow \&doorLatchAlarm:currentTime])$
 $\rightarrow_r iuserToken:currentUserToken := \ll goodT(t(\!|authCert := Some(newAuthCert)|)) \gg$)

6 Operations Interfacing to the ID Station (2)

6.1 Obtaining inputs from the real world

6.1.1 Polling the Real World

definition *PollTime* :: *SystemState* *hrel* **where**
 [*upred-defs*]:

PollTime =
 ($\Delta[idStation:doorLatchAlarm, DoorLatchAlarm] \wedge$
 $\$idStation:doorLatchAlarm:currentTime' =_u \$realWorld:monitored:now$)

definition *PollDoor* :: *SystemState* *hrel* **where**
 [*upred-defs*]:

$PollDoor =$
 $(\Delta[idStation:doorLatchAlarm, DoorLatchAlarm] \wedge$
 $\$idStation:doorLatchAlarm:currentDoor' =_u \$realWorld:monitored:door \wedge$
 $\$idStation:doorLatchAlarm:latchTimeout' =_u \$idStation:doorLatchAlarm:latchTimeout$
 \wedge
 $\$idStation:doorLatchAlarm:alarmTimeout' =_u \$idStation:doorLatchAlarm:alarmTimeout)$

definition $PollUserToken :: SystemState hrel$ **where**

[upred-defs]:

$PollUserToken =$

$(\Delta[idStation:iuserToken, UserToken] \wedge$
 $\$idStation:iuserToken:userTokenPresence' =_u \llbracket present \rrbracket \Leftrightarrow \$realWorld:monitored:userToken$
 $\neq_u \llbracket noT \rrbracket \wedge$
 $\$idStation:iuserToken:currentUserToken' =_u$
 $(\$realWorld:monitored:userToken \triangleleft \$realWorld:monitored:userToken \neq_u \llbracket noT \rrbracket \triangleright$
 $\$idStation:iuserToken:currentUserToken))$

definition $PollAdminToken :: SystemState hrel$ **where**

[upred-defs]:

$PollAdminToken =$

$(\Delta[idStation:iadminToken, AdminToken] \wedge$
 $\$idStation:iadminToken:adminTokenPresence' =_u \llbracket present \rrbracket \Leftrightarrow \$realWorld:monitored:adminToken$
 $\neq_u \llbracket noT \rrbracket \wedge$
 $\$idStation:iadminToken:currentAdminToken' =_u$
 $(\$realWorld:monitored:adminToken \triangleleft \$realWorld:monitored:adminToken \neq_u$
 $\llbracket noT \rrbracket \triangleright \$idStation:iadminToken:currentAdminToken))$

definition $PollFinger :: SystemState hrel$ **where**

[upred-defs]:

$PollFinger =$

$(\Delta[idStation:ifinger, Finger] \wedge$
 $\$idStation:ifinger:fingerPresence' =_u \llbracket present \rrbracket \Leftrightarrow \$realWorld:monitored:finger$
 $\neq_u \llbracket noFP \rrbracket \wedge$
 $\$idStation:ifinger:currentFinger' =_u$
 $(\$realWorld:monitored:finger \triangleleft \$realWorld:monitored:finger \neq_u \llbracket noFP \rrbracket \triangleright$
 $\$idStation:ifinger:currentFinger))$

definition $PollFloppy :: SystemState hrel$ **where**

[upred-defs]:

$PollFloppy =$

$(\Delta[idStation:ifloppy, Floppy] \wedge$
 $\$idStation:ifloppy:floppyPresence' =_u \llbracket present \rrbracket \Leftrightarrow \$realWorld:monitored:floppy$
 $\neq_u \llbracket noFloppy \rrbracket \wedge$
 $\$idStation:ifloppy:currentFloppy' =_u$
 $(\$realWorld:monitored:floppy \triangleleft \$realWorld:monitored:floppy \neq_u \llbracket noFloppy \rrbracket \triangleright$
 $\$idStation:ifloppy:currentFloppy) \wedge$
 $\$idStation:ifloppy:writtenFloppy' =_u \$idStation:ifloppy:writtenFloppy$
 $)$

definition *PollKeyboard* :: *SystemState hrel where*

[*upred-defs*]:

PollKeyboard =

($\Delta[idStation:ikeyboard,Keyboard]$ \wedge
 $\$idStation:ikeyboard:keyedDataPresence' =_u \llcorner present \gg \Leftrightarrow \$realWorld:monitored:keyboard$
 $\neq_u \llcorner noKB \gg \wedge$
 $\$idStation:ikeyboard:currentKeyedData' =_u$
 $(\$realWorld:monitored:keyboard \triangleleft \$realWorld:monitored:keyboard \neq_u \llcorner noKB \gg \triangleright$
 $\$idStation:ikeyboard:currentKeyedData))$

definition *TISPoll* :: *SystemState hrel where*

[*upred-defs*]:

TISPoll =

(— *PollTime*
 $idStation:doorLatchAlarm:currentTime := realWorld:monitored:now$;;
— *PollDoor*
 $idStation:doorLatchAlarm:currentDoor := realWorld:monitored:door$;;
— *PollUserToken*
 $idStation:iuserToken:userTokenPresence :=$
 $(\llcorner absent \gg \triangleleft (realWorld:monitored:userToken = \llcorner noT \gg) \triangleright \llcorner absent \gg) ;;$
 $idStation:iuserToken:currentUserToken :=$
 $(idStation:iuserToken:currentUserToken$
 $\triangleleft (realWorld:monitored:userToken = \llcorner noT \gg) \triangleright$
 $realWorld:monitored:userToken) ;;$
— *PollAdminToken*
 $idStation:iadminToken:adminTokenPresence :=$
 $(\llcorner absent \gg \triangleleft (realWorld:monitored:adminToken = \llcorner noT \gg) \triangleright \llcorner absent \gg) ;;$
 $idStation:iadminToken:currentAdminToken :=$
 $(idStation:iadminToken:currentAdminToken$
 $\triangleleft (realWorld:monitored:adminToken = \llcorner noT \gg) \triangleright$
 $realWorld:monitored:adminToken) ;;$
— *PollFinger*
 $idStation:ifinger:fingerPresence :=$
 $(\llcorner absent \gg \triangleleft (realWorld:monitored:finger = \llcorner noFP \gg) \triangleright \llcorner absent \gg) ;;$
 $idStation:ifinger:currentFinger :=$
 $(idStation:ifinger:currentFinger$
 $\triangleleft (realWorld:monitored:finger = \llcorner noFP \gg) \triangleright$
 $realWorld:monitored:finger) ;;$
— *PollFloppy*
 $idStation:ifloppy:floppyPresence :=$
 $(\llcorner absent \gg \triangleleft (realWorld:monitored:floppy = \llcorner noFloppy \gg) \triangleright \llcorner absent \gg) ;;$
 $idStation:ifloppy:currentFloppy :=$
 $(idStation:ifloppy:currentFloppy$
 $\triangleleft (realWorld:monitored:floppy = \llcorner noFloppy \gg) \triangleright$
 $realWorld:monitored:floppy) ;;$
— *PollKeyboard*
 $idStation:ikeyboard:keyedDataPresence :=$
 $(\llcorner absent \gg \triangleleft (realWorld:monitored:keyboard = \llcorner noKB \gg) \triangleright \llcorner absent \gg) ;;$
 $idStation:ikeyboard:currentKeyedData :=$

$$\begin{aligned}
& (idStation:ikeyboard:currentKeyedData \\
& \quad \triangleleft (realWorld:monitored:keyboard = \ll noKB \gg) \triangleright \\
& \quad realWorld:monitored:keyboard) \\
&)
\end{aligned}$$

6.2 The ID Station Changes the World

6.2.1 Periodic Updates

definition *UpdateLatch* :: *SystemState hrel* **where**

[upred-defs]:

$$\begin{aligned}
UpdateLatch = & \\
& (\exists[idStation:doorLatchAlarm,DoorLatchAlarm] \wedge \\
& \quad RealWorldChanges \oplus_r realWorld \wedge \\
& \quad \$realWorld:controlled:latch' =_u \$idStation:doorLatchAlarm:currentLatch)
\end{aligned}$$

definition *UpdateAlarm* :: *SystemState hrel* **where**

[upred-defs]:

$$\begin{aligned}
UpdateAlarm = & \\
& (\exists[idStation:doorLatchAlarm,DoorLatchAlarm] \wedge \\
& \quad RealWorldChanges \oplus_r realWorld \wedge \\
& \quad [AuditLog]_{<} \oplus_r idStation:audit \wedge \\
& \quad \$realWorld:controlled:alarm' =_u \ll alarming \gg \Leftrightarrow (\$idStation:doorLatchAlarm:doorAlarm \\
& =_u \ll alarming \gg \\
& \quad \vee \$idStation:audit:auditAlarm =_u \\
& \quad \ll alarming \gg))
\end{aligned}$$

definition *UpdateDisplay* :: *SystemState hrel* **where**

[upred-defs]:

$$\begin{aligned}
UpdateDisplay = & \\
& (\Delta[idStation,IDStation] \wedge \\
& \quad RealWorldChanges \oplus_r realWorld \wedge \\
& \quad \$realWorld:controlled:display' =_u \$idStation:currentDisplay \wedge \\
& \quad \$idStation:currentDisplay' =_u \$idStation:currentDisplay)
\end{aligned}$$

definition *UpdateScreen* :: *SystemState hrel* **where**

[upred-defs]:

$$\begin{aligned}
UpdateScreen = & \\
& (\Delta[idStation,IDStation] \wedge \\
& \quad \exists[idStation:admin,Admin] \wedge \\
& \quad RealWorldChanges \oplus_r realWorld \wedge \\
& \quad \$realWorld:controlled:screen:screenMsg' =_u \$idStation:currentScreen:screenMsg \\
& \wedge \\
& \quad \$realWorld:controlled:screen:screenConfig' =_u \\
& \quad (\$idStation:currentScreen:screenConfig \\
& \quad \quad \triangleleft \$idStation:admin:rolePresent =_u \ll Some(securityOfficer) \gg \triangleright \\
& \quad \quad \ll clear \gg) \wedge \\
& \quad \$realWorld:controlled:screen:screenStats' =_u \\
& \quad (\$idStation:currentScreen:screenStats \\
& \quad \quad \triangleleft \$idStation:admin:rolePresent \neq_u \ll None \gg \triangleright)
\end{aligned}$$

«clear»))

definition *TISUpdate* :: *SystemState hrel where*

[*upred-defs, tis-defs*]:

TISUpdate =

(*realWorld*:*[RealWorldChanges]*⁺ ;;

realWorld:*controlled:latch* := *idStation:doorLatchAlarm:currentLatch* ;;

realWorld:*controlled:alarm* := («*alarming*»

◁ (*idStation:doorLatchAlarm:doorAlarm* = «*alarming*»

∨ *idStation:audit:auditAlarm* = «*alarming*»

▷ «*silent*») ;;

realWorld:*controlled:display* := *idStation:currentDisplay*)

6.2.2 Updating the User Token

definition *UpdateUserToken* :: *SystemState hrel where*

[*upred-defs, tis-defs*]:

UpdateUserToken = *realWorld:monitored:userToken* := *idStation:iuserToken:currentUserToken*

lemma *UpdateUserToken-correct*:

{*IDStation* ⊕_{*p*} *idStation*} *UpdateUserToken* {*IDStation* ⊕_{*p*} *idStation*}_{*u*}

by (*simp add: tis-defs, hoare-auto*)

7 The User Entry Operation (1)

definition *ResetScreenMessage* :: *IDStation hrel where*

[*upred-defs*]:

ResetScreenMessage =

(Δ[*admin, Admin*]

∧ ((*\$internal:status*′ ∉_{*u*} {«*quiescent*», «*waitingRemoveTokenFail*»}_{*u*} ∧ *\$currentScreen:screenMsg*′

=_{*u*} «*busy*») ∨

(*\$internal:status*′ ∈_{*u*} {«*quiescent*», «*waitingRemoveTokenFail*»}_{*u*} ∧

(*\$internal:enclaveStatus*′ =_{*u*} «*enclaveQuiescent*» ∧ *\$admin:rolePresent*′ =_{*u*}

«*None*» ∧ *\$currentScreen:screenMsg*′ =_{*u*} «*welcomeAdmin*»

∨ *\$internal:enclaveStatus*′ =_{*u*} «*enclaveQuiescent*» ∧ *\$admin:rolePresent*′ ≠_{*u*}

«*None*» ∧ *\$currentScreen:screenMsg*′ =_{*u*} «*requestAdminOp*»

∨ *\$internal:enclaveStatus*′ =_{*u*} «*waitingRemoveAdminTokenFail*» ∧ *\$currentScreen:screenMsg*′

=_{*u*} «*removeAdminToken*»

∨ *\$internal:enclaveStatus*′ ∉_{*u*} {«*enclaveQuiescent*», «*waitingRemoveAdminTo-*

kenFail»}_{*u*} ∧ *\$currentScreen:screenMsg*′ =_{*u*} *\$currentScreen:screenMsg*

))))

lemma *mark-alpha-ResetScreenMessage* [*mark-alpha*]:

Σ ◁_{*α*} *ResetScreenMessage* = {&*admin*, &*currentScreen*, &*internal*} ◁_{*α*} *ResetScreenMessage*

by (rel-auto)

definition *UserEntryContext* :: SystemState hrel **where**

[upred-defs]:

```

UserEntryContext =
  ((RealWorldChanges ∧ ∃[controlled, TISControlledRealWorld]) ⊕r realWorld ∧
   (Δ[iuserToken, UserToken] ∧
    Δ[doorLatchAlarm, DoorLatchAlarm] ∧
    Δ[audit, AuditLog] ∧
    ∃[config, Config] ∧
    ∃[iadminToken, AdminToken] ∧
    ∃[keyStore, KeyStore] ∧
    ∃[admin, Admin] ∧
    ∃[ikeyboard, Keyboard] ∧
    ∃[ifloppy, Floppy] ∧
    ∃[ifinger, Finger] ∧
    Δ[IDStation-inv] ∧
    ResetScreenMessage ∧
    ($enclaveStatus' =u $enclaveStatus ∧
     ($status ≠u «waitingEntry» ⇒ $tokenRemovalTimeout' =u $tokenRemovalTimeout)
    ) ⊕r internal) ⊕r idStation
  )

```

lemma pre *UserEntryContext* = IDStation ⊕_p idStation

apply (unfold *UserEntryContext-def*)

apply (simp)

apply (zcalcpre)

oops

lemma *UserEntryContext-alt-def* [upred-defs]:

```

UserEntryContext =
  ((RealWorldChanges ∧ ∃[controlled, TISControlledRealWorld]) ⊕r realWorld ∧
   (Δ[IDStation] ∧
    $config' =u $config ∧
    $iadminToken' =u $iadminToken ∧
    $keyStore' =u $keyStore ∧
    $admin' =u $admin ∧
    $ikeyboard' =u $ikeyboard ∧
    $ifloppy' =u $ifloppy ∧
    $ifinger' =u $ifinger ∧
    ResetScreenMessage ∧
    ($enclaveStatus' =u $enclaveStatus ∧
     $status ≠u «waitingEntry» ⇒ $tokenRemovalTimeout' =u $tokenRemovalTimeout
    ) ⊕r internal) ⊕r idStation
  )

```

oops

lemma pre((RealWorldChanges ∧ ∃[controlled, TISControlledRealWorld]) ⊕_r re-

$alWorld) = true$
by (*rel-auto*)

7.1 User Token Tears

definition *UserTokenTorn* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

UserTokenTorn =

((*internal:status* \in {*«gotUserToken»*, *«waitingUpdateToken»*, *«waitingFinger»*,
«gotFinger», *«waitingEntry»*}
 \wedge *iuserToken:userTokenPresence* = *«absent»*
 \rightarrow_r *currentDisplay* := *«welcom»* ;; *internal:status* := *«quiescent»*)

lemma *UserTokenTorn-correct* [*hoare-safe*]: $\{IDStation\} UserTokenTorn \{IDStation\}_u$

apply (*rule IDStation-correct-intro*)

apply (*simp add: tis-defs, hoare-auto*)

apply (*simp add: tis-defs, hoare-auto*)

done

8 Operations within the Enclave (1)

definition *EnclaveContext* :: *SystemState hrel where*

[*upred-defs*]:

EnclaveContext =

($\Delta[idStation, IDStation]$ \wedge
RealWorldChanges \oplus_r *realWorld* \wedge
 $\exists[realWorld:controlled, TISControlledRealWorld]$ \wedge
 $\exists[idStation:iuserToken, UserToken]$ \wedge
 $\exists[idStation:iadminToken, AdminToken]$ \wedge
 $\exists[idStation:ifinger, Finger]$ \wedge
 $\exists[idStation:stats, Stats]$ \wedge
 $(\$tokenRemovalTimeout' =_u \$tokenRemovalTimeout) \oplus_r idStation:internal$
 $)$

definition *EnrolContext* :: *SystemState hrel where*

EnrolContext = (*EnclaveContext* \wedge

$\exists[idStation:ikeyboard, Keyboard]$ \wedge

$\exists[idStation:admin, Admin]$ \wedge

$\exists[idStation:doorLatchAlarm, DoorLatchAlarm]$ \wedge

$\exists[idStation:config, Config]$ \wedge

$\exists[idStation:ifloppy, Floppy]$)

We depart from the Z specification for this operation, as to precisely implement the Z behaviour we need a state space containing both a *ValidEnrol* and a *KeyStore*. Since the former is static rather than dynamic, it seems to make sense to treat it as a parameter here.

FIX: We had to change *ownName* (as it was in *Tokeneer Z*) to *ownName'* in the function addition.

8.1 Updating the Key Store

definition *UpdateKeyStore* :: *Enrol* \Rightarrow *KeyStore* *hrel* **where**

[*upred-defs*]:

UpdateKeyStore *e* =
 (Δ [*KeyStore*] \wedge
 $\ll e \in \text{ValidEnrol} \gg \wedge$
 $\$ownName' =_u \ll \text{Some } (\text{subject } (\text{idStationCert } e)) \gg \wedge$
 $\$issuerKey' =_u \$issuerKey \oplus \ll \{(\text{subject } c, \text{subjectPubK } c) \mid c. c \in \text{issuerCerts}$
 $e\} \gg \oplus \{(the_u(\$ownName'), \ll \text{subjectPubK } (\text{idStationCert } e)) \gg)_u$
)

lemma *rel-typed-Collect* [*rclos*]: $\ll \bigwedge x y. P(x, y) \implies x \in A \wedge y \in B \gg \implies \text{Collect } P \in A \leftrightarrow_r B$

by (*auto simp add: rel-typed-def*)

lemma *rel-pfun-Collect* [*rclos*]: $\ll \bigwedge x y. P(x, y) \implies x \in A \wedge y \in B; \bigwedge x y z. \ll P(x, y); P(x, z) \gg \implies y = z \gg \implies \text{Collect } P \in A \rightarrow_r B$

by (*auto simp add: rel-pfun-def rel-typed-def functional-algebraic*)

lemma *UpdateKeyStore-prog-def*:

UpdateKeyStore *e* =
 ?[$\text{@KeyStore} \wedge \ll e \in \text{ValidEnrol} \gg$] ;;
 $ownName := \ll \text{Some } (\text{subject } (\text{idStationCert } e)) \gg$;;
 $issuerKey := issuerKey \oplus \ll \{(\text{subject } c, \text{subjectPubK } c) \mid c. c \in \text{issuerCerts}$
 $e\} \gg \oplus \{(the(ownName), \ll \text{subjectPubK } (\text{idStationCert } e)) \gg\}$
 (is ?*P* = ?*Q*)

proof (*rule antisym*)

show ?*P* \sqsubseteq ?*Q*

by (*rel-auto, auto intro: rclos intro!: rel-pfun-override rel-pfun-Collect*)

show ?*Q* \sqsubseteq ?*P*

by (*rel-auto*)

qed

lemma *pre-KeyStore*:

$e \in \text{ValidEnrol} \implies \text{Dom}(\text{UpdateKeyStore } e) = \text{KeyStore}$

apply (*rel-auto*)

apply (*auto intro: rclos intro!: rel-pfun-override*)

done

definition *UpdateKeyStoreFromFloppy* :: *IDStation* *hrel* **where**

[*upred-defs, tis-defs*]:

UpdateKeyStoreFromFloppy =
 (Δ [*keyStore, KeyStore*] \wedge
 $[\text{Floppy} \oplus_p \text{ifloppy}]_< \wedge$
 $\$ifloppy:\text{currentFloppy} \in_u \ll \text{range}(\text{enrolmentFile}) \gg \wedge$
 $(\exists e \cdot \ll e \gg =_u \ll \text{enrolmentFile-of} \gg (\$ifloppy:\text{currentFloppy})_a$
 $\wedge \text{UpdateKeyStore } e \oplus_r \text{keyStore}))$

9 The User Entry Operation (2)

9.1 Reading the User Token

definition *ReadUserToken* :: *IDStation hrel* **where**
 [*upred-defs, tis-defs*]:
ReadUserToken =
 ((*internal:enclaveStatus* ∈ {«*enclaveQuiescent*», «*waitingRemoveAdminToken-Fail*»})
 ∧ *internal:status* = «*quiescent*»
 ∧ *iuserToken:userTokenPresence* = «*present*»
) \rightarrow_r *currentDisplay* := «*wait*» ;; *internal:status* := «*gotUserToken*»)

9.2 Validating the User Token

definition *UEC* :: *IDStation hrel* \Rightarrow *SystemState hrel* **where**
 [*upred-defs, tis-defs*]:
UEC(*Op*) =
 (\prod *t* · *idStation*: [*Op*]⁺ ;;
 realWorld: [
 monitored:now := *monitored:now* + «*t*» ;;
 monitored:door := * ;; *monitored:finger* := * ;;
 monitored:userToken := * ;; *monitored:adminToken* := * ;;
 monitored:floppy := * ;; *monitored:keyboard* := *]⁺)

lemma *UEC-refines-RealWorldChanges*:
 (*RealWorldChanges* \oplus_r *realWorld*) \sqsubseteq *UEC*(*Op*)
by (*rel-auto*)

lemma *UEC-correct*: $\{I\}P\{I\}_u \Longrightarrow \{I \oplus_p \textit{idStation}\}UEC(P)\{I \oplus_p \textit{idStation}\}_u$
apply (*simp add: wlp-hoare-link wp UEC-def alpha unrest usubst*)
apply (*rel-simp*)
done

lemma *ReadUserToken-correct*: $\{IDStation\}ReadUserToken\{IDStation\}_u$
apply (*rule IDStation-correct-intro*)
apply (*simp add: tis-defs, hoare-wlp-auto*)
apply (*simp add: tis-defs, hoare-wlp-auto*)
done

definition [*upred-defs, tis-defs*]: *TISReadUserToken* = *UEC*(*ReadUserToken*)

lemma *TISReadUserToken-correct*: $\{IDStation \oplus_p \textit{idStation}\}TISReadUserToken\{IDStation \oplus_p \textit{idStation}\}_u$
by (*simp add: ReadUserToken-correct TISReadUserToken-def UEC-correct*)

lemma ‘*UserTokenOK* \Rightarrow ($\exists e \in \ll \textit{ValidToken} \gg \cdot \ll \textit{goodT}(e) \gg =_u \& \textit{iuserToken:currentUserToken}$)’
by (*rel-auto*)

lemma ‘*UserTokenWithOKAuthCert* \Rightarrow ($\exists e \in \ll \textit{TokenWithValidAuth} \gg \cdot \ll \textit{goodT}(e) \gg =_u$)’

& *iuserToken:currentUserToken*)’
by (*rel-auto*)

definition *BioCheckNotRequired* :: *IDStation hrel* **where**

[*upred-defs, tis-defs*]:

BioCheckNotRequired =

((*internal:status* = <<gotUserToken>>
 \wedge *iuserToken:userTokenPresence* = <<present>>
 \wedge @ *UserTokenWithOKAuthCert*
 \rightarrow_r *internal:status* := <<waitingEntry>> ;; *currentDisplay* := <<wait>>))

lemma *BioCheckNotRequired-correct*: $\{IDStation\} BioCheckNotRequired \{IDStation\}_u$

apply (*rule IDStation-correct-intro*)

apply (*simp add: tis-defs, hoare-auto*)

apply (*simp add: tis-defs, hoare-auto*)

done

definition *BioCheckRequired* :: *IDStation hrel* **where**

[*upred-defs, tis-defs*]:

BioCheckRequired =

((*internal:status* = <<gotUserToken>>
 \wedge *iuserToken:userTokenPresence* = <<present>>
 \wedge (\neg @ *UserTokenWithOKAuthCert*) \wedge @ *UserTokenOK*
 \rightarrow_r *internal:status* := <<waitingFinger>> ;; *currentDisplay* := <<insertFinger>>))

lemma *BioCheckRequired-correct*: $\{IDStation\} BioCheckRequired \{IDStation\}_u$

apply (*rule IDStation-correct-intro*)

apply (*simp add: tis-defs, hoare-auto*)

apply (*simp add: tis-defs, hoare-auto*)

done

definition [*upred-defs, tis-defs*]: *ValidateUserTokenOK* = (*BioCheckRequired* \vee *BioCheckNotRequired*)

lemma *ValidateUserTokenOK-correct*: $\{IDStation\} ValidateUserTokenOK \{IDStation\}_u$

by (*simp add: BioCheckNotRequired-correct BioCheckRequired-correct ValidateUserTokenOK-def disj-upred-def hoare-ndet*)

definition *ValidateUserTokenFail* :: *IDStation hrel* **where**

[*upred-defs, tis-defs*]:

ValidateUserTokenFail =

((*internal:status* = <<gotUserToken>>
 \wedge *iuserToken:userTokenPresence* = <<present>>
 \wedge (\neg @ *UserTokenWithOKAuthCert*) \wedge (\neg @ *UserTokenOK*)
 \rightarrow_r *internal:status* := <<waitingRemoveTokenFail>> ;; *currentDisplay* := <<removeToken>>))

lemma *ValidateUserTokenFail-correct*: $\{IDStation\} ValidateUserTokenFail \{IDStation\}_u$

apply (*rule IDStation-correct-intro*)

apply (*simp add: tis-defs, hoare-auto*)
apply (*simp add: tis-defs, hoare-auto*)
done

definition [*upred-defs, tis-defs*]:

$$\begin{aligned}
 TISValidateUserToken = & (UEC(ValidateUserTokenOK) \vee UEC(ValidateUserTokenFail)) \\
 & \vee UEC(UserTokenTorn ;; ?[internal:status = \langle\langle gotUserToken \rangle\rangle])
 \end{aligned}$$

lemma *UserTokenTorn-test-correct*:

$\{IDStation\}(UserTokenTorn ;; ?[@b])\{IDStation\}_u$
by (*rule seq-hoare-inv-r-2, simp add: hoare-safe, rule hoare-test, simp add: impl-alt-def*
utp-pred-laws.sup-commute)

lemma *TISValidateUserToken-correct*: $\{IDStation \oplus_p idStation\} TISValidateUserToken \{IDStation \oplus_p idStation\}_u$

by (*simp add: TISValidateUserToken-def UEC-correct UserTokenTorn-test-correct*
ValidateUserTokenFail-correct ValidateUserTokenOK-correct disj-upred-def hoare-ndet)

9.3 Reading a Fingerprint

definition *ReadFingerOK* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

ReadFingerOK =
 $((internal:status = \langle\langle waitingFinger \rangle\rangle$
 $\wedge ifinger:fingerPresence = \langle\langle present \rangle\rangle$
 $\wedge iuserToken:userTokenPresence = \langle\langle present \rangle\rangle$
 $) \rightarrow_\tau internal:status := \langle\langle gotFinger \rangle\rangle ;; currentDisplay := \langle\langle wait \rangle\rangle)$

lemma *ReadFingerOK-correct*: $\{IDStation\} ReadFingerOK \{IDStation\}_u$

apply (*rule IDStation-correct-intro*)
apply (*simp add: tis-defs, hoare-auto*)
apply (*simp add: tis-defs, hoare-auto*)
done

definition *NoFinger* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

NoFinger =
 $?[internal:status = \langle\langle waitingFinger \rangle\rangle$
 $\wedge ifinger:fingerPresence = \langle\langle absent \rangle\rangle$
 $\wedge iuserToken:userTokenPresence = \langle\langle present \rangle\rangle$
 $]$

lemma *NoFinger-correct*: $\{IDStation\} NoFinger \{IDStation\}_u$

apply (*rule IDStation-correct-intro*)
apply (*simp add: tis-defs, hoare-auto*)
apply (*simp add: tis-defs, hoare-auto*)
done

definition *FingerTimeout* :: *IDStation* *hrel* **where**
 [*upred-defs*, *tis-defs*]:
FingerTimeout =
 ((*internal:status* = $\langle\langle$ waitingFinger $\rangle\rangle$
 \wedge *ifinger:fingerPresence* = $\langle\langle$ absent $\rangle\rangle$
 \wedge *iuserToken:userTokenPresence* = $\langle\langle$ present $\rangle\rangle$
) \longrightarrow_r *currentDisplay* := $\langle\langle$ removeToken $\rangle\rangle$;; *internal:status* := $\langle\langle$ waitingRemoveTokenFail $\rangle\rangle$)

lemma *FingerTimeout-correct*: $\{IDStation\}$ *FingerTimeout* $\{IDStation\}_u$
apply (*rule IDStation-correct-intro*)
apply (*simp add: tis-defs, hoare-auto*)
apply (*simp add: tis-defs, hoare-auto*)
done

definition [*upred-defs*, *tis-defs*]:
TISReadFinger = (*UEC*(*ReadFingerOK*) \vee *UEC*(*FingerTimeout*) \vee *UEC*(*NoFinger*)
 \vee *UEC*(*UserTokenTorn* ;; $?[internal:status = \langle\langle$ waitingFinger $\rangle\rangle]$))

lemma *TISReadFinger-correct*: $\{IDStation \oplus_p idStation\}$ *TISReadFinger* $\{IDStation \oplus_p idStation\}_u$
by (*simp add: FingerTimeout-correct NoFinger-correct ReadFingerOK-correct TISReadFinger-def UEC-correct UserTokenTorn-test-correct disj-upred-def hoare-ndet*)

9.4 Validating a Fingerprint

definition *ValidateFingerOK* :: *IDStation* *hrel* **where**
 [*upred-defs*, *tis-defs*]:
ValidateFingerOK =
 ((*internal:status* = $\langle\langle$ gotFinger $\rangle\rangle$
 \wedge *iuserToken:userTokenPresence* = $\langle\langle$ present $\rangle\rangle$
 \wedge $@FingerOK$
) \longrightarrow_r *currentDisplay* := $\langle\langle$ wait $\rangle\rangle$;; *internal:status* := $\langle\langle$ waitingUpdateToken $\rangle\rangle$)

lemma *ValidateFingerOK-correct*: $\{IDStation\}$ *ValidateFingerOK* $\{IDStation\}_u$
apply (*rule IDStation-correct-intro*)
apply (*simp add: tis-defs, hoare-auto*)
apply (*simp add: tis-defs, hoare-auto*)
done

definition *ValidateFingerFail* :: *IDStation* *hrel* **where**
 [*upred-defs*, *tis-defs*]:
ValidateFingerFail =
 ((*internal:status* = $\langle\langle$ gotFinger $\rangle\rangle$
 \wedge *iuserToken:userTokenPresence* = $\langle\langle$ present $\rangle\rangle$
 \wedge $@FingerOK$
) \longrightarrow_r *currentDisplay* := $\langle\langle$ removeToken $\rangle\rangle$;; *internal:status* := $\langle\langle$ waitingRemoveTokenFail $\rangle\rangle$)

lemma *ValidateFingerFail-correct*: $\{\{IDStation\}\} \text{ValidateFingerFail}\{\{IDStation\}\}_u$
apply (*rule IDStation-correct-intro*)
apply (*simp add: tis-defs, hoare-auto*)
apply (*simp add: tis-defs, hoare-auto*)
done

definition [*upred-defs, tis-defs*]:
 $TISValidateFinger = (UEC(ValidateFingerOK) \vee UEC(ValidateFingerFail))$
 $\vee UEC(UserTokenTorn ;; ?[internal:status = \langle\langle gotFinger \rangle\rangle])$

lemma *TISValidateFinger-correct*: $\{\{IDStation \oplus_p idStation\}\} TISValidateFinger\{\{IDStation \oplus_p idStation\}\}_u$
by (*simp add: TISValidateFinger-def UEC-correct UserTokenTorn-test-correct ValidateFingerFail-correct ValidateFingerOK-correct disj-upred-def hoare-ndet*)

9.5 Writing the User Token

definition *WriteUserTokenOK* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:
 $WriteUserTokenOK =$
 $((internal:status = \langle\langle waitingUpdateToken \rangle\rangle$
 $\wedge iuserToken:userTokenPresence = \langle\langle present \rangle\rangle$
 $) \longrightarrow_r AddAuthCertToUserToken ;;$
 $currentDisplay := \langle\langle wait \rangle\rangle ;;$
 $internal:status := \langle\langle waitingEntry \rangle\rangle)$

lemma *hoare-post-conj-split*: $\{\{b\}\} P \{\{c \wedge d\}\}_u \longleftrightarrow (\{\{b\}\} P \{\{c\}\}_u \wedge \{\{b\}\} P \{\{d\}\}_u)$
by (*rel-auto*)

lemma *WriteUserTokenOK-correct*: $\{\{IDStation\}\} WriteUserTokenOK \{\{IDStation\}\}_u$

proof –

have *inv*: $\{\{IDStation-inv\}\} WriteUserTokenOK \{\{IDStation-inv\}\}_u$

proof –

have *a*: $\{\{IDStation-inv1 \wedge IDStation-inv9\}\} WriteUserTokenOK \{\{IDStation-inv9\}\}_u$

by (*hoare-wlp-auto defs: tis-defs*)

have *1*: $\{\{IDStation-inv\}\} WriteUserTokenOK \{\{IDStation-inv9\}\}_u$

by (*rule-tac pre-str-hoare-r[OF - a], rel-auto*)

have *b*: $\{\{IDStation-inv1\}\} WriteUserTokenOK \{\{IDStation-inv1\}\}_u$

by (*hoare-wlp-auto defs: tis-defs*)

have *2*: $\{\{IDStation-inv\}\} WriteUserTokenOK \{\{IDStation-inv1\}\}_u$

by (*rule-tac pre-str-hoare-r[OF - b], rel-auto*)

have *3*:

$\{\{IDStation-inv\}\} WriteUserTokenOK \{\{IDStation-inv2\}\}_u$

$\{\{IDStation-inv\}\} WriteUserTokenOK \{\{IDStation-inv3\}\}_u$

$\{\{IDStation-inv\}\} WriteUserTokenOK \{\{IDStation-inv4\}\}_u$

$\{\{IDStation-inv\}\} WriteUserTokenOK \{\{IDStation-inv5\}\}_u$

$\{\{IDStation-inv\}\} WriteUserTokenOK \{\{IDStation-inv6\}\}_u$

```

    {IDStation-inv} WriteUserTokenOK {IDStation-inv7}_u
    {IDStation-inv} WriteUserTokenOK {IDStation-inv8}_u
    {IDStation-inv} WriteUserTokenOK {IDStation-inv10}_u
  by (hoare-wlp-auto defs: tis-defs)+
from 1 2 3 show ?thesis
  by (auto simp add: IDStation-inv-def hoare-post-conj-split)
qed

have ut: {UserToken  $\oplus_p$  iuserToken} WriteUserTokenOK {UserToken  $\oplus_p$  iuser-
Token}_u
  by (hoare-wlp-auto defs: tis-defs)

show ?thesis
apply (rule-tac IDStation-correct-intro)
apply (auto simp add: hoare-post-conj-split)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (hoare-wlp-auto defs: tis-defs)
  apply (simp add: ut hoare-r-weaken-pre(1) hoare-r-weaken-pre(2))
  apply (simp add: inv)
done
qed

definition WriteUserTokenFail :: IDStation hrel where
[upred-defs, tis-defs]:
WriteUserTokenFail =
((internal:status =  $\ll$ waitingUpdateToken $\gg$ 
 $\wedge$  iuserToken:userTokenPresence =  $\ll$ present $\gg$ 
)  $\longrightarrow_r$  AddAuthCertToUserToken ;;
currentDisplay :=  $\ll$ tokenUpdateFailed $\gg$  ;;
internal:status :=  $\ll$ waitingEntry $\gg$ )

lemma WriteUserTokenFail-correct: {IDStation} WriteUserTokenFail {IDStation}_u
proof –
have inv: {IDStation-inv} WriteUserTokenFail {IDStation-inv}_u
proof –
have a: {IDStation-inv1  $\wedge$  IDStation-inv9} WriteUserTokenFail {IDStation-inv9}_u
  by (hoare-wlp-auto defs: tis-defs)
have 1: {IDStation-inv} WriteUserTokenFail {IDStation-inv9}_u
  by (rule-tac pre-str-hoare-r[OF - a], rel-auto)
have b: {IDStation-inv1} WriteUserTokenFail {IDStation-inv1}_u
  by (hoare-wlp-auto defs: tis-defs)
have 2: {IDStation-inv} WriteUserTokenFail {IDStation-inv1}_u
  by (rule-tac pre-str-hoare-r[OF - b], rel-auto)
have 3:
  {IDStation-inv} WriteUserTokenFail {IDStation-inv2}_u

```



```

    {IDStation-inv} WriteUserTokenFail {IDStation-inv3}_u
    {IDStation-inv} WriteUserTokenFail {IDStation-inv4}_u
    {IDStation-inv} WriteUserTokenFail {IDStation-inv5}_u
    {IDStation-inv} WriteUserTokenFail {IDStation-inv6}_u
    {IDStation-inv} WriteUserTokenFail {IDStation-inv7}_u
    {IDStation-inv} WriteUserTokenFail {IDStation-inv8}_u
    {IDStation-inv} WriteUserTokenFail {IDStation-inv10}_u
  by (hoare-wlp-auto defs: tis-defs)+
from 1 2 3 show ?thesis
  by (auto simp add: IDStation-inv-def hoare-post-conj-split)
qed

have ut: {UserToken  $\oplus_p$  iuserToken} WriteUserTokenFail {UserToken  $\oplus_p$  iuser-
Token}_u
  by (hoare-wlp-auto defs: tis-defs)

show ?thesis
  apply (rule-tac IDStation-correct-intro)
  apply (auto simp add: hoare-post-conj-split)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (hoare-wlp-auto defs: tis-defs)
    apply (simp add: ut hoare-r-weaken-pre(1) hoare-r-weaken-pre(2))
    apply (simp add: inv)
  done
qed

definition [upred-defs, tis-defs]:
  WriteUserToken = (WriteUserTokenOK  $\vee$  WriteUserTokenFail)

definition [upred-defs, tis-defs]:
  TISWriteUserToken =
  ((UEC(WriteUserToken) ;; UpdateUserToken)
   $\vee$  UEC(UserTokenTorn ;; ?[internal:status =  $\ll$ waitingUpdateToken $\gg$ ]))

lemma TISWriteUserToken-correct:
  {IDStation  $\oplus_p$  idStation} TISWriteUserToken {IDStation  $\oplus_p$  idStation}_u
proof -
  have 1: {IDStation  $\oplus_p$  idStation} UEC(WriteUserToken) ;; UpdateUserToken {IDStation
 $\oplus_p$  idStation}_u
  by (simp add: UEC-correct UpdateUserToken-correct WriteUserTokenFail-correct
WriteUserTokenOK-correct WriteUserToken-def disj-upred-def hoare-ndet seq-hoare-inv-r-2)
  thus ?thesis
  by (simp add: TISWriteUserToken-def UEC-correct UserTokenTorn-test-correct
disj-upred-def hoare-ndet)
qed

```

9.6 Validating Entry

definition *UserAllowedEntry* :: *IDStation* *upred* **where**

[*upred-defs*]:

UserAllowedEntry =
 (($\exists t \in \llcorner \text{ValidToken} \gg \cdot$
 $\llcorner \text{goodT}(t) \gg = \text{iuserToken}:\text{currentUserToken}$
 $\wedge \text{doorLatchAlarm}:\text{currentTime} \in \text{config}:\text{entryPeriod}[\llcorner \text{role}(\text{privCert } t) \gg][\llcorner \text{class}$
 (*clearance* (*privCert* *t*)) \gg]))
 \vee ($\exists t \in \llcorner \text{TokenWithValidAuth} \gg \cdot$
 $\llcorner \text{goodT}(t) \gg = \text{iuserToken}:\text{currentUserToken}$
 $\wedge \text{doorLatchAlarm}:\text{currentTime} \in \text{config}:\text{entryPeriod}[\llcorner \text{role}(\text{the } (\text{authCert } t)) \gg][\llcorner \text{class}$
 (*clearance* (*the* (*authCert* *t*)) \gg]))_{*e*}

definition *EntryOK* :: *IDStation* *hrel* **where**

[*upred-defs*, *tis-defs*]:

EntryOK =
 ((*internal*:*status* = $\llcorner \text{waitingEntry} \gg \wedge$
 iuserToken:*userTokenPresence* = $\llcorner \text{present} \gg \wedge$
 @*UserAllowedEntry*)
 \rightarrow_r *currentDisplay* := $\llcorner \text{openDoor} \gg$;;
 internal:*status* := $\llcorner \text{waitingRemoveTokenSuccess} \gg$;;
 internal:*tokenRemovalTimeout* := *doorLatchAlarm*:*currentTime* + *config*:*tokenRemovalDuration*)

lemma *EntryOK-correct*: $\{IDStation\} \text{EntryOK} \{IDStation\}_u$

apply (*rule IDStation-correct-intro*)

apply (*simp add: tis-defs, hoare-auto*)

apply (*simp add: tis-defs, hoare-auto*)

done

definition *EntryNotAllowed* :: *IDStation* *hrel* **where**

[*upred-defs*, *tis-defs*]:

EntryNotAllowed =
 ((*internal*:*status* = $\llcorner \text{waitingEntry} \gg \wedge$
 iuserToken:*userTokenPresence* = $\llcorner \text{present} \gg \wedge$
 (\neg @*UserAllowedEntry*))
 \rightarrow_r *currentDisplay* := $\llcorner \text{removeToken} \gg$;;
 internal:*status* := $\llcorner \text{waitingRemoveTokenFail} \gg$)

lemma *EntryNotAllowed-correct*: $\{IDStation\} \text{EntryNotAllowed} \{IDStation\}_u$

apply (*rule IDStation-correct-intro*)

apply (*simp add: tis-defs, hoare-auto*)

apply (*simp add: tis-defs, hoare-auto*)

done

definition [*upred-defs*, *tis-defs*]:

TISValidateEntry =
 (*UEC*(*EntryOK*) \vee *UEC*(*EntryNotAllowed*) \vee *UEC*(*UserTokenTorn* ;; ?[*internal*:*status*
 = $\llcorner \text{waitingEntry} \gg$]))

lemma *TISValidateEntry-correct*: $\{\{IDStation \oplus_p idStation\}\} TISValidateEntry \{\{IDStation \oplus_p idStation\}\}_u$
by (*simp add: EntryNotAllowed-correct EntryOK-correct TISValidateEntry-def UEC-correct UserTokenTorn-test-correct disj-upred-def hoare-ndet*)

9.7 Unlocking the Door

definition *UnlockDoorOK* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

UnlockDoorOK =

(*internal:status* = $\ll waitingRemoveTokenSuccess \gg$ \wedge
userToken:userTokenPresence = $\ll absent \gg$)

\rightarrow_r *UnlockDoor* ;; *currentDisplay* := $\ll doorUnlocked \gg$;; *internal:status* := $\ll quiescent \gg$

lemma *UnlockDoorOK-correct*: $\{\{IDStation\}\} UnlockDoorOK \{\{IDStation\}\}_u$

apply (*rule IDStation-correct-intro*)

apply (*simp add: tis-defs, hoare-auto*)

apply (*simp add: tis-defs, hoare-auto*)

done

lemma *wp-UnlockDoorOK*:

UnlockDoorOK *wp* (*doorLatchAlarm:currentLatch* = $\ll unlocked \gg$) =

(*internal:status* = $\ll waitingRemoveTokenSuccess \gg$ \wedge *userToken:userTokenPresence* = $\ll absent \gg$)_e

by (*simp add: tis-defs wp usubst unrest*)

definition *WaitingTokenRemoval* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

WaitingTokenRemoval =

?[*internal:status* \in { $\ll waitingRemoveTokenSuccess \gg$, $\ll waitingRemoveTokenFail \gg$ }]

\wedge

internal:status = $\ll waitingRemoveTokenSuccess \gg$ \Rightarrow *doorLatchAlarm:currentTime*

$<$ *internal:tokenRemovalTimeout* \wedge

userToken:userTokenPresence = $\ll present \gg$]

lemma *WaitingTokenRemoval-correct*:

$\{\{IDStation\}\} WaitingTokenRemoval$;; ?[*@b*] $\{\{IDStation\}\}_u$

apply (*rule IDStation-correct-intro*)

apply (*simp add: tis-defs, hoare-auto*)

apply (*simp add: tis-defs, hoare-auto*)

done

definition *TokenRemovalTimeout* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

TokenRemovalTimeout =

((*internal:status* = $\ll waitingRemoveTokenSuccess \gg$ \wedge

doorLatchAlarm:currentTime \geq *internal:tokenRemovalTimeout* \wedge

iuserToken:userTokenPresence = <<present>> \longrightarrow_r
internal:status := <<waitingRemoveTokenFail>> ;;
currentDisplay := <<removeToken>>)

lemma *TokenRemovalTimeout-correct*: $\{\{IDStation\}\} \text{TokenRemovalTimeout} \{\{IDStation\}\}_u$
apply (*rule IDStation-correct-intro*)
apply (*simp add: tis-defs, hoare-auto*)
apply (*simp add: tis-defs, hoare-auto*)
done

definition [*upred-defs, tis-defs*]:
TISUnlockDoor = (UEC(UnlockDoorOK)
 \vee *UEC(WaitingTokenRemoval ;; ?[internal:status = <<waitingRemoveTokenSuccess>>])*
 \vee *UEC(TokenRemovalTimeout)*)

lemma *TISUnlockDoor-correct*:
 $\{\{IDStation \oplus_p idStation\}\} \text{TISUnlockDoor} \{\{IDStation \oplus_p idStation\}\}_u$
by (*simp add: TISUnlockDoor-def TokenRemovalTimeout-correct UEC-correct UnlockDoorOK-correct WaitingTokenRemoval-correct disj-upred-def hoare-ndet*)

9.8 Terminating a Failed Access

definition *FailedAccessTokenRemoved* :: *IDStation hrel where*
[*upred-defs, tis-defs*]:
FailedAccessTokenRemoved =
(*(internal:status = <<waitingRemoveTokenFail>> \wedge*
iuserToken:userTokenPresence = <<absent>>) \longrightarrow_r
internal:status := <<quiescent>> ;;
currentDisplay := <<welcom>>)

lemma *FailedAccessTokenRemoved-correct*: $\{\{IDStation\}\} \text{FailedAccessTokenRemoved} \{\{IDStation\}\}_u$
apply (*rule IDStation-correct-intro*)
apply (*simp add: tis-defs, hoare-auto*)
apply (*simp add: tis-defs, hoare-auto*)
done

definition [*upred-defs, tis-defs*]:
TISCompleteFailedAccess = (UEC(FailedAccessTokenRemoved)
 \vee *UEC(WaitingTokenRemoval ;; ?[internal:status = <<waitingRemoveTokenFail>>])*)

lemma *TISCompleteFailedAccess-correct*:
 $\{\{IDStation \oplus_p idStation\}\} \text{TISCompleteFailedAccess} \{\{IDStation \oplus_p idStation\}\}_u$
by (*simp add: FailedAccessTokenRemoved-correct TISCompleteFailedAccess-def UEC-correct WaitingTokenRemoval-correct disj-upred-def hoare-ndet*)

9.9 The Complete User Entry

definition [*upred-defs, tis-defs*]:

$TISUserEntryOp = (TISReadUserToken \vee TISValidateUserToken \vee TISReadFinger \vee TISValidateFinger$
 $\vee TISWriteUserToken \vee TISValidateEntry \vee TISUnlockDoor \vee$
 $TISCompleteFailedAccess)$

lemma *hoare-disj* [*hoare-safe*]:
assumes $\{pr\}P\{post\}_u \ \{pr\}Q\{post\}_u$
shows $\{pr\}(P \vee Q)\{post\}_u$
using *assms* **by** (*rel-auto*)

lemma *TISUserEntryOp-inv*: $\{IDStation \oplus_p idStation\} TISUserEntryOp \{IDStation$
 $\oplus_p idStation\}_u$
apply (*auto simp add: TISUserEntryOp-def intro!:hoare-disj*)
apply (*simp-all add: TISReadUserToken-correct TISValidateUserToken-correct*
 $TISReadFinger-correct TISValidateFinger-correct TISWriteUserToken-correct$
 $TISValidateEntry-correct TISUnlockDoor-correct TISCompleteFailedAccess-correct$)
done

10 Operations Within the Enclave (2)

10.1 Enrolment of an ID Station

10.1.1 Requesting Enrolment

definition *RequestEnrolment* :: *SystemState hrel where*
[*upred-defs, tis-defs*]:

$RequestEnrolment = (EnrolContext \wedge$
 $\exists[idStation:keyStore, KeyStore] \wedge$
 $\exists[idStation:audit, AuditLog] \wedge$
 $\exists[idStation:internal, Internal] \wedge$
 $(\$enclaveStatus =_u \ll notEnrolled \gg) \oplus_r idStation:internal \wedge$
 $(\$floppyPresence =_u \ll absent \gg) \oplus_r idStation:ifloppy \wedge$
 $(\$currentScreen:screenMsg' =_u \ll insertEnrolmentData \gg) \wedge$
 $\$currentDisplay' =_u \ll blank \gg) \oplus_r idStation$
 $)$

definition *ReadEnrolmentFloppy* :: *SystemState hrel where*

$ReadEnrolmentFloppy = (EnrolContext \wedge$
 $\exists[idStation:keyStore, KeyStore] \wedge$
 $(\$enclaveStatus =_u \ll notEnrolled \gg) \oplus_r idStation:internal \wedge$
 $(\$floppyPresence =_u \ll present \gg) \oplus_r idStation:ifloppy \wedge$
 $(\$currentScreen:screenMsg' =_u \ll validatingEnrolmentData \gg) \wedge$
 $\$internal:status' =_u \$internal:status \wedge$
 $\$currentDisplay' =_u \ll blank \gg) \oplus_r idStation$
 $)$

definition *ReadEnrolmentData* = (*ReadEnrolmentFloppy* \vee *RequestEnrolment*)

10.1.2 Validating Enrolment data from Floppy

definition *EnrolmentDataOK* :: *IDStation* upred **where**
EnrolmentDataOK = (*Floppy* \oplus_p *ifloppy* \wedge
KeyStore \oplus_p *keyStore* \wedge
(*ifloppy*:*currentFloppy* \in \ll range *enrolmentFile* \gg \wedge
 \ll *enrolmentFile-of* \gg [*ifloppy*:*currentFloppy*] \in \ll *ValidEnrol* \gg)_e)

definition *ValidateEnrolmentDataOK* :: *SystemState* hrel **where**
ValidateEnrolmentDataOK =
(*EnrolContext* \wedge
(*UpdateKeyStoreFromFloppy* \wedge
AddElementsToLog \wedge
 $\$internal:enclaveStatus =_u \ll$ *waitingEnrol* \gg \wedge
 $[EnrolmentDataOK]_{<}$ \wedge
 $\$currentScreen:screenMsg' =_u \ll$ *welcomeAdmin* \gg \wedge
 $\$internal:enclaveStatus' =_u \ll$ *enclaveQuiescent* \gg \wedge
 $\$internal:status' =_u \ll$ *quiescent* \gg \wedge
 $\$currentDisplay' =_u \ll$ *welcom* \gg
) \oplus_r *idStation*)

definition *ValidateEnrolmentDataFail* :: *SystemState* hrel **where**
ValidateEnrolmentDataFail =
(*EnrolContext* \wedge
($\exists[keyStore,KeyStore]$ \wedge
AddElementsToLog \wedge
 $\$internal:enclaveStatus =_u \ll$ *waitingEnrol* \gg \wedge
 $[\neg EnrolmentDataOK]_{<}$ \wedge
 $\$currentScreen:screenMsg' =_u \ll$ *enrolmentFailed* \gg \wedge
 $\$internal:enclaveStatus' =_u \ll$ *waitingEndEnrol* \gg \wedge
 $\$internal:status' =_u \$internal:status$ \wedge
 $\$currentDisplay' =_u \ll$ *blank* \gg
) \oplus_r *idStation*)

definition *ValidateEnrolmentData* = (*ValidateEnrolmentDataOK* \vee *ValidateEnrolmentDataFail*)

10.1.3 Completing a Failed Enrolment

definition *FailedEnrolFloppyRemoved* :: *SystemState* hrel **where**
FailedEnrolFloppyRemoved =
(*EnrolContext* \wedge
($\exists[keyStore,KeyStore]$ \wedge
 $\$internal:enclaveStatus =_u \ll$ *waitingEndEnrol* \gg \wedge
 $\$ifloppy:floppyPresence =_u \ll$ *absent* \gg \wedge
 $\$currentScreen:screenMsg' =_u \ll$ *insertEnrolmentData* \gg \wedge
 $\$internal:enclaveStatus' =_u \ll$ *notEnrolled* \gg \wedge
 $\$internal:status' =_u \$internal:status$ \wedge
 $\$currentDisplay' =_u \ll$ *blank* \gg
) \oplus_r *idStation*)

definition *WaitingFloppyRemoval* :: *SystemState hrel* **where**

WaitingFloppyRemoval =
 (*EnrolContext* \wedge
 $\exists[idStation, IDStation]$ \wedge
 ($\$internal:enclaveStatus =_u \ll waitingEndEnrol \gg \wedge$
 $\$ifloppy:floppyPresence =_u \ll present \gg$
 $) \oplus_r idStation$)

definition *CompleteFailedEnrolment* = (*FailedEnrolFloppyRemoved* \vee *WaitingFloppyRemoval*)

10.1.4 The Complete Enrolment

definition *TISEnrolOp* :: *SystemState hrel* **where**

[*upred-defs*, *tis-defs*]:
TISEnrolOp = *false*

10.2 Further Administrator Operations

definition *AdminLogon* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

AdminLogon =

((*admin:rolePresent* = $\ll None \gg \wedge$
 $(\exists t \ll ValidToken \gg \cdot (\ll goodT(t) \gg = iadminToken:currentAdminToken))$)
 $) \rightarrow_r admin:rolePresent := Some(role(the(authCert(ofGoodT(iadminToken:currentAdminToken))))))$

;;

admin:currentAdminOp := $\ll None \gg$;;

— The assignments below were added to ensure the invariant *Admin* is satisfied

if admin:rolePresent = $\ll Some(guard) \gg$
 then *admin:availableOps* := { $\ll overrideLock \gg$ }
 else *if admin:rolePresent* = $\ll Some(auditManager) \gg$
 then *admin:availableOps* := { $\ll archiveLog \gg$ }
 else
admin:availableOps := { $\ll updateConfigData \gg, \ll shutdownOp \gg$ }
fi fi)

definition *AdminLogout* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

AdminLogout =

((*admin:rolePresent* $\neq \ll None \gg$
 $) \rightarrow_r admin:rolePresent := \ll None \gg$;; *admin:currentAdminOp* := $\ll None \gg$)

definition *AdminStartOp* :: *IDStation hrel* **where**

[*upred-defs*, *tis-defs*]:

AdminStartOp =

((*admin:rolePresent* $\neq \ll None \gg$
 $\wedge admin:currentAdminOp = \ll None \gg$
 $\wedge ikeyboard:currentKeyedData \in \ll keyedOps \gg$) $\wedge (admin:availableOps)$)

) \rightarrow_r $admin:currentAdminOp := Some(ofKeyedOps(ikeyboard:currentKeyedData)))$

definition *AdminFinishOp* :: *IDStation hrel* **where**

[*upred-defs, tis-defs*]:

AdminFinishOp =
 ((*admin:rolePresent* \neq $\ll None \gg$
 \wedge *admin:currentAdminOp* \neq $\ll None \gg$
) \rightarrow_r *admin:currentAdminOp* := $\ll None \gg$)

definition *AdminTokenTear* :: *IDStation hrel* **where**

[*upred-defs, tis-defs*]:

AdminTokenTear =
 ((*iadminToken:adminTokenPresence* = $\ll absent \gg$
) \rightarrow_r *internal:enclaveStatus* := $\ll enclaveQuiescent \gg$)

definition *BadAdminTokenTear* :: *IDStation hrel* **where**

[*upred-defs, tis-defs*]:

BadAdminTokenTear =
 ((*internal:enclaveStatus* \in { $\ll gotAdminToken \gg$, $\ll waitingStartAdminOp \gg$, $\ll waitingFinishAdminOp \gg$ }
 \rightarrow_r *AdminTokenTear*)

definition *BadAdminLogout* :: *IDStation hrel* **where**

[*upred-defs, tis-defs*]:

BadAdminLogout =
 ((*internal:enclaveStatus* \in { $\ll waitingStartAdminOp \gg$, $\ll waitingFinishAdminOp \gg$ }
 \rightarrow_r (*BadAdminTokenTear* ;; *AdminLogout*))

definition *LoginAborted* :: *IDStation hrel* **where**

[*upred-defs, tis-defs*]:

LoginAborted = ((*internal:enclaveStatus* = $\ll gotAdminToken \gg$) \rightarrow_r *BadAdminTokenTear*)

definition *ReadAdminToken* :: *IDStation hrel* **where**

[*upred-defs, tis-defs*]:

ReadAdminToken =
 ((*internal:enclaveStatus* = $\ll enclaveQuiescent \gg$
 \wedge *internal:status* \in { $\ll quiescent \gg$, $\ll waitingRemoveTokenFail \gg$ }
 \wedge *admin:rolePresent* = $\ll None \gg$
 \wedge *iadminToken:adminTokenPresence* = $\ll present \gg$
) \rightarrow_r *internal:enclaveStatus* := $\ll gotAdminToken \gg$)

definition *TISReadAdminToken* :: *SystemState hrel* **where**

[*upred-defs, tis-defs*]: *TISReadAdminToken* = *UEC*(*ReadAdminToken*)

definition *ValidateAdminTokenOK* :: *IDStation hrel* **where**

[*upred-defs, tis-defs*]:

ValidateAdminTokenOK =
 ((*internal:enclaveStatus* = $\ll gotAdminToken \gg$)


```

  ∧ iadminToken:adminTokenPresence = <<present>>
  ∧ @AdminTokenOK
) →r AdminLogon ;;
  currentScreen:screenMsg := <<requestAdminOp>> ;;
  internal:enclaveStatus := <<enclaveQuiescent>>

```

lemma *ValidateAdminTokenOK-correct:*
 $\{\{IDStation\}\}$ *ValidateAdminTokenOK* $\{\{IDStation\}\}_u$
apply (rule *IDStation-correct-intro*)
apply (hoare-wlp-auto defs: *tis-defs*)
apply (hoare-wlp-auto defs: *tis-defs*)
done

definition *ValidateAdminTokenFail* :: *IDStation hrel where*
[*upred-defs, tis-defs*]:
ValidateAdminTokenFail =
((*internal:enclaveStatus* = <<gotAdminToken>>
 ∧ *iadminToken:adminTokenPresence* = <<present>>
 ∧ (¬ @AdminTokenOK)
) →_r *currentScreen:screenMsg* := <<removeAdminToken>> ;;
internal:enclaveStatus := <<waitingRemoveAdminTokenFail>>)

lemma *ValidateAdminTokenFail-correct:*
 $\{\{IDStation\}\}$ *ValidateAdminTokenFail* $\{\{IDStation\}\}_u$
apply (rule *IDStation-correct-intro*)
apply (hoare-wlp-auto defs: *tis-defs*)
apply (simp add: *IDStation-inv-def*)
apply (auto simp add: *hoare-post-conj-split*)
apply (hoare-wlp-auto defs: *tis-defs*)
apply (hoare-wlp-auto defs: *tis-defs*)
apply (hoare-wlp-auto defs: *tis-defs*)
apply (hoare-wlp-auto defs: *tis-defs*)
apply (hoare-wlp-auto defs: *tis-defs*)
apply (hoare-wlp-auto defs: *tis-defs*)
apply (hoare-wlp-auto defs: *tis-defs*)
apply (hoare-wlp-auto defs: *tis-defs*)
apply (hoare-wlp-auto defs: *tis-defs*)
done

definition *TISValidateAdminToken* :: *SystemState hrel where*
[*upred-defs, tis-defs*]:
TISValidateAdminToken =
(*UEC*(*ValidateAdminTokenOK*) ∨ *UEC*(*ValidateAdminTokenFail*) ∨ *UEC*(*LoginAborted*))

definition *FailedAdminTokenRemove* :: *IDStation hrel where*
[*upred-defs, tis-defs*]:
FailedAdminTokenRemove =
((*internal:enclaveStatus* = <<waitingRemoveAdminTokenFail>>

\wedge $iadminToken:adminTokenPresence = \langle\langle absent \rangle\rangle$
 \rightarrow_r $currentScreen:screenMsg := \langle\langle welcomeAdmin \rangle\rangle$;;
 $internal:enclaveStatus := \langle\langle enclaveQuiescent \rangle\rangle$

definition *WaitingAdminTokenRemoval* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

WaitingAdminTokenRemoval =
 $((internal:enclaveStatus = \langle\langle waitingRemoveAdminTokenFail \rangle\rangle$
 $\wedge iadminToken:adminTokenPresence = \langle\langle present \rangle\rangle) \rightarrow_r II)$

definition *TISCompleteFailedAdminLogon* :: *SystemState hrel where*

[*upred-defs, tis-defs*]:

TISCompleteFailedAdminLogon = $(UEC(FailedAdminTokenRemove) \vee UEC(WaitingAdminTokenRemoval))$

definition [*upred-defs, tis-defs*]:

TISAdminLogon = $(TISReadAdminToken \vee TISValidateAdminToken \vee TISCompleteFailedAdminLogon)$

definition *StartOpContext* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

StartOpContext =
 $((internal:enclaveStatus = \langle\langle enclaveQuiescent \rangle\rangle$
 $\wedge iadminToken:adminTokenPresence = \langle\langle present \rangle\rangle$
 $\wedge admin:rolePresent \neq \langle\langle None \rangle\rangle$
 $\wedge internal:status \in \{\langle\langle quiescent \rangle\rangle, \langle\langle waitingRemoveTokenFail \rangle\rangle\}) \rightarrow_r II)$

definition *ValidateOpRequestOK* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

ValidateOpRequestOK =
 $((ikeyboard:keyedDataPresence = \langle\langle present \rangle\rangle \wedge$
 $ikeyboard:currentKeyedData \in \langle\langle keyedOps \rangle\rangle(\langle\langle admin:availableOps \rangle\rangle))$
 $\rightarrow_r StartOpContext$;;
 $AdminStartOp$;;
 $currentScreen:screenMsg := \langle\langle doingOp \rangle\rangle$;;
 $internal:enclaveStatus := \langle\langle waitingStartAdminOp \rangle\rangle)$

definition *ValidateOpRequestFail* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

ValidateOpRequestFail =
 $((ikeyboard:keyedDataPresence = \langle\langle present \rangle\rangle \wedge$
 $ikeyboard:currentKeyedData \notin \langle\langle keyedOps \rangle\rangle(\langle\langle admin:availableOps \rangle\rangle))$
 $\rightarrow_r StartOpContext$;;
 $currentScreen:screenMsg := \langle\langle invalidRequest \rangle\rangle)$

definition *NoOpRequest* :: *IDStation hrel where*

[*upred-defs, tis-defs*]:

NoOpRequest =
 $((ikeyboard:keyedDataPresence = \langle\langle absent \rangle\rangle) \rightarrow_r StartOpContext)$

definition [upred-defs, tis-defs]:

$ValidateOpRequest = (ValidateOpRequestOK \vee ValidateOpRequestFail \vee NoOpRequest)$

definition [upred-defs, tis-defs]: $TISSstartAdminOp = UEC(ValidateOpRequest)$

definition *AdminOpStartedContext* :: *IDStation hrel where*

[upred-defs, tis-defs]:

AdminOpStartedContext =
((*internal:enclaveStatus* = $\langle\langle waitingStartAdminOp \rangle\rangle$
^ *iadminToken:adminTokenPresence* = $\langle\langle present \rangle\rangle$
) \rightarrow_{τ} *II*)

definition *ShutdownOK* :: *IDStation hrel where*

[upred-defs, tis-defs]:

ShutdownOK =
((*internal:enclaveStatus* = $\langle\langle waitingStartAdminOp \rangle\rangle$
^ *admin:currentAdminOp* = $\langle\langle Some(shutdownOp) \rangle\rangle$
^ *doorLatchAlarm:currentDoor* = $\langle\langle closed \rangle\rangle$
) \rightarrow_{τ} *LockDoor* ;;
 AdminLogout ;;
 currentScreen:screenMsg := $\langle\langle clear \rangle\rangle$;;
 internal:enclaveStatus := $\langle\langle shutdown \rangle\rangle$;;
 currentDisplay := $\langle\langle blank \rangle\rangle$
)

definition *ShutdownWaitingDoor* :: *IDStation hrel where*

[upred-defs, tis-defs]:

ShutdownWaitingDoor =
((*internal:enclaveStatus* = $\langle\langle waitingStartAdminOp \rangle\rangle$
^ *admin:currentAdminOp* = $\langle\langle Some(shutdownOp) \rangle\rangle$
^ *doorLatchAlarm:currentDoor* = $\langle\langle dopen \rangle\rangle$
) \rightarrow_{τ} *currentScreen:screenMsg* := $\langle\langle closeDoor \rangle\rangle$
)

definition *TISSShutdownOp* :: *SystemState hrel where*

[upred-defs, tis-defs]:

$TISSShutdownOp = (UEC(ShutdownOK) \vee UEC(ShutdownWaitingDoor))$

definition *OverrideDoorLockOK* :: *IDStation hrel where*

[upred-defs, tis-defs]:

OverrideDoorLockOK =
 AdminOpStartedContext ;;
((*admin:currentAdminOp* = $\langle\langle Some(overrideLock) \rangle\rangle$
) \rightarrow_{τ} *currentScreen:screenMsg* := $\langle\langle requestAdminOp \rangle\rangle$;;
 currentDisplay := $\langle\langle doorUnlocked \rangle\rangle$;;
 internal:enclaveStatus := $\langle\langle enclaveQuiescent \rangle\rangle$;;
 UnlockDoor ;;
 AdminFinishOp)

lemma $\{\{IDStation-inv\}\}OverrideDoorLockOK\{\{IDStation-inv\}\}_u$
apply (rule *IDStation-inv-intro*)
oops

definition *TISOverrideDoorLockOp* :: *SystemState hrel where*
 $[upred-defs, tis-defs]:$
TISOverrideDoorLockOp =
 (*UEC*(*OverrideDoorLockOK*)
 \vee *UEC*((*internal:enclaveStatus* = \ll *waitingStartAdminOp* \gg
 \wedge *admin:currentAdminOp* = \ll *Some(overrideLock)* \gg) \longrightarrow_r *BadAdminLogout*))

definition *TISUpdateConfigDataOp* :: *SystemState hrel where*
 $[upred-defs, tis-defs]:$ *TISUpdateConfigDataOp* = *false*

definition *TISArchiveLog* :: *SystemState hrel where*
 $[upred-defs, tis-defs]:$ *TISArchiveLog* = *false*

definition *TISAdminOp* :: *SystemState hrel where*
 $[upred-defs, tis-defs]:$
TISAdminOp = (*TISOverrideDoorLockOp* \vee *TISShutdownOp* \vee *TISUpdateConfigDataOp* \vee *TISArchiveLog*)

definition *TISAdminLogout* :: *SystemState hrel where* $[upred-defs, tis-defs]:$ *TISAdminLogout* = *false*

definition *TISIdle* :: *SystemState hrel where*
 $[upred-defs, tis-defs]:$
TISIdle = *UEC*((*internal:status* = \ll *quiescent* \gg
 \wedge *internal:enclaveStatus* = \ll *enclaveQuiescent* \gg
 \wedge *iuserToken:userTokenPresence* = \ll *absent* \gg
 \wedge *iadminToken:adminTokenPresence* = \ll *absent* \gg
 \wedge *admin:rolePresent* = \ll *None* \gg) \longrightarrow_r *II*)

11 The Whole ID Station

definition *TISOp* :: *SystemState hrel where*
TISOp = ((*TISEnrolOp*
 \vee *TISUserEntryOp*
 \vee *TISAdminLogon*
 \vee *TISStartAdminOp*
 \vee *TISAdminOp*
 \vee *TISAdminLogout*
 \vee *TISIdle*))

definition *InitDoorLatchAlarm* **where**
 $[upred-defs]:$
InitDoorLatchAlarm =
 (*DoorLatchAlarm* \wedge
 $\&$ *currentTime* =_u \ll *zeroTime* \gg \wedge

$\¤tDoor =_u \langle\langle closed \rangle\rangle \wedge$
 $\&latchTimeout =_u \langle\langle zeroTime \rangle\rangle \wedge$
 $\&alarmTimeout =_u \langle\langle zeroTime \rangle\rangle$

lemma *InitDoorLatchAlarm* \neq *false*
by (*rel-auto*)

abbreviation *TISOpThenUpdate* \equiv (*TISOp* ;; *TISUpdate*)

12 Proving Security Properties

lemma *RealWorld-wp* [*wp*]: $\llbracket \text{controlled } \# b; \text{monitored } \# b \rrbracket \implies (\text{RealWorldChanges } wp @b) = b$
by (*simp add: tis-defs wp usubst unrest*)

lemma
 $([\&idStation:doorLatchAlarm:currentLatch \mapsto_s \langle\langle locked \rangle\rangle] \dagger$
 $(\text{TISReadUserToken } wp (\text{idStation:doorLatchAlarm:currentLatch} = \langle\langle unlocked \rangle\rangle)))$
 $= \text{false}$
by (*simp add: tis-defs wp usubst unrest alpha*)

12.1 Proving Security Functional Requirement 1

lemma [*wp*]: (*RealWorldChanges wlp false*) = *false*
by (*rel-auto*)

definition *AdminTokenGuardOK* :: *IDStation upred where*
 $[\text{upred-defs}, \text{tis-defs}]:$
 $\text{AdminTokenGuardOK} =$
 $(\&iadminToken:currentAdminToken \in_u \langle\langle \text{range}(\text{goodT}) \rangle\rangle \wedge$
 $(\exists t \in \langle\langle \text{TokenWithValidAuth} \rangle\rangle \cdot$
 $(\langle\langle \text{goodT}(t) \rangle\rangle =_u \&iadminToken:currentAdminToken$
 $\wedge (\exists c \in \langle\langle \text{AuthCert} \rangle\rangle \cdot \langle\langle \text{Some } c = \text{authCert } t \rangle\rangle$
 $\wedge \langle\langle \text{role } c = \text{guard} \rangle\rangle) \oplus_p \text{keyStore}$
 $))$
 $)$

lemma *admin-unlock*:

$[\&idStation:doorLatchAlarm:currentLatch \mapsto_s \langle\langle locked \rangle\rangle]$
 $\dagger ((\text{TISAdminOp} ;; \text{TISUpdate}) wp (\text{realWorld:controlled:latch} = \langle\langle \text{un-}$
 $\text{locked} \rangle\rangle)) =$
 $((\&idStation:internal:enclaveStatus =_u \langle\langle \text{waitingStartAdminOp} \rangle\rangle \wedge \&idStation:iadminToken:adminToken$
 $=_u \langle\langle \text{present} \rangle\rangle) \wedge$
 $\&idStation:admin:currentAdminOp =_u \langle\langle \text{Some overrideLock} \rangle\rangle \wedge \&idStation:admin:rolePresent$
 $\neq_u \text{None}_u \wedge \&idStation:admin:currentAdminOp \neq_u \text{None}_u)$
by (*simp add: tis-defs wp usubst unrest alpha*)

lemma *user-unlock*:

$$\begin{aligned} & [\&idStation:doorLatchAlarm:currentLatch \mapsto_s \ll locked \gg] \\ & \quad \dagger ((TISUserEntryOp ;; TISUpdate) wp (realWorld:controlled:latch = \ll un- \\ & \text{locked} \gg)) = \\ & \quad (\&idStation:internal:status =_u \ll waitingRemoveTokenSuccess \gg \wedge \&idStation:iuserToken:userTokenPresence \\ & =_u \ll absent \gg) \\ & \quad \mathbf{by} \text{ (simp add: tis-defs alpha unrest usubst wp)} \end{aligned}$$

SFR1(a): If the system invariants hold, the door is initially locked, and a *TISUserEntryOp* transition is enabled that unlocks the door, then (1) a valid user token is present and (2) either a valid finger print or a valid authorisation certificate is also present.

abbreviation *FSFR1* \equiv ‘(*IDStation-inv*) \oplus_p *idStation* \wedge [*&idStation:doorLatchAlarm:currentLatch* \mapsto_s *locked*] \dagger ((*TISUserEntryOp* ;; *TISUpdate*) *wp* (*realWorld:controlled:latch* = *unlocked*)) \Rightarrow ((*UserTokenOK* \wedge *FingerOK*) \vee (*UserTokenWithOKAuthCert*)) \oplus_p *idStation*’

lemma *FSFR1-proof*:

$$\begin{aligned} & ‘(IDStation-inv) \oplus_p idStation \wedge \\ & \quad [\&idStation:doorLatchAlarm:currentLatch \mapsto_s \ll locked \gg] \\ & \quad \dagger ((TISUserEntryOp ;; TISUpdate) wp (realWorld:controlled:latch = \ll un- \\ & \text{locked} \gg)) \\ & \quad \Rightarrow ((UserTokenOK \wedge FingerOK) \vee (UserTokenWithOKAuthCert)) \oplus_p idSta- \\ & \text{tion}’ \\ & \quad \mathbf{apply} \text{ (simp add: user-unlock)} \\ & \quad \mathbf{apply} \text{ (rel-auto)} \\ & \quad \mathbf{done} \end{aligned}$$

SFR1(b): If the system invariants hold, the door is initially locked, and a *TISAdminOp* transition is enabled that unlocks the door, then an admin token is present with the role “guard” attached.

lemma *FSFR1b*:

$$\begin{aligned} & ‘((IDStation-inv2 \wedge (Admin \oplus_p admin) \wedge IDStation-inv10) \oplus_p idStation \wedge \\ & \quad [\&idStation:doorLatchAlarm:currentLatch \mapsto_s \ll locked \gg] \\ & \quad \dagger ((TISAdminOp ;; TISUpdate) wp (realWorld:controlled:latch = \ll un- \\ & \text{locked} \gg))) \\ & \quad \Rightarrow AdminTokenGuardOK \\ & \quad \oplus_p idStation’ \\ & \quad \mathbf{apply} \text{ (simp add: admin-unlock)} \\ & \quad \mathbf{apply} \text{ (simp add: Admin-def alpha)} \\ & \quad \mathbf{apply} \text{ (rel-auto)} \\ & \quad \mathbf{done} \end{aligned}$$

definition *AlarmInv* :: *SystemState upred* **where**

[*upred-defs*, *tis-defs*]:

AlarmInv = (*realWorld:controlled:latch* = *locked*) \wedge

$$\begin{aligned}
& idStation:doorLatchAlarm:currentDoor = \langle\langle dopen \rangle\rangle \wedge \\
& idStation:doorLatchAlarm:currentTime \geq idStation:doorLatchAlarm:alarmTimeout \\
& \Rightarrow realWorld:controlled:alarm = \langle\langle alarming \rangle\rangle_e
\end{aligned}$$

lemma $\{ \{ realWorld:controlled:latch = \langle\langle locked \rangle\rangle \wedge$
 $idStation:doorLatchAlarm:currentDoor = \langle\langle dopen \rangle\rangle \wedge$
 $idStation:doorLatchAlarm:currentTime \geq idStation:doorLatchAlarm:alarmTimeout$
 \wedge
 $(@DoorLatchAlarm \oplus_p idStation:doorLatchAlarm) \} \} TISUpdate\{ \{ realWorld:controlled:alarm$
 $= \langle\langle alarming \rangle\rangle \} \}$
oops

end